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1 that also lack biological markers.

2 If so, how do you deal with them? Can we learn  
3 something from your criteria for efficacy in dealing with  
4 drugs for those diseases that might help us in thinking about  
5 Alzheimer's?

6 DR. KATZ: I think, if I can start to answer that  
7 for the Agency, there are a couple of distinctions I want to  
8 make. First of all, my understanding of the trial design  
9 issues for other psychiatric illnesses, those, generally  
10 speaking, tend to all be well-controlled, usually placebo-  
11 controlled, trials.

12 The issue of how one measures outcomes in those  
13 diseases, as compared to Alzheimer's, is one that might crop  
14 up in later discussions and later panels in this symposium.  
15 I think we are going to spend a lot of time on how does one  
16 assess outcomes in patients with Alzheimer's disease. I  
17 don't know that they are, necessarily, directly analagous to  
18 how one does it in other psychiatric conditions.

19 DR. WURTMAN: I think it would be helpful if, when  
20 we have those discussions, we can have some insights as to  
21 how you do it for other behavioral diseases that lack lead  
22 drugs and biological markers.

23 DR. KATZ: I agree. I think the lack of biological  
24 markers, to hone in on that particular point, isn't necessar-  
25 ily a major problem. Also, just to back up for a second,

1 there are other effective drugs in other conditions.

2 DR. THAL: I would just like to comment on the  
3 issue of biological markers. It is true there is no  
4 biological marker for Alzheimer's disease, but as I will  
5 demonstrate to you in one slide, we are not bad in making the  
6 diagnosis. If you were to, now, look at the pathological  
7 series that have been published in the last seven years, the  
8 diagnostic accuracy rate based on a clinical examination and  
9 a neuropsychological evaluation averages sensitivity and  
10 specificity of about 85 percent.

11 If one uses NIN, CDS, ADRDA diagnosis of probably  
12 Alzheimer's disease, diagnostic accuracy is about 92 percent  
13 compared to pathology. So we certainly can pick out the  
14 populations who had Alzheimer's disease and nothing but  
15 Alzheimer's disease for our clinical drug trials, and these  
16 trials will be contaminated by about an 8 percent incorrect  
17 diagnostic rate.

18 I think that is actually pretty good.

19 DR. WURTMAN: The question, though, Leon, is can  
20 you pick out a 15 percent improvement in a patient with  
21 diagnosed Alzheimer's disease.

22 DR. THAL: You can pick out an improvement of any  
23 magnitude, given you are willing to study enough patients. I  
24 will show you some extrapolations and figures of actually how  
25 many patients you need. It simply depends on the sensitivity

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1 of your test instrument and its standard deviation.

2 I will give you an example. We are not dealing  
3 with stroke studies, but I attended a meeting on stroke  
4 methodology a few months ago. Most of our Alzheimer's  
5 trials deal, often, with about 200 to 300 patients. I  
6 thought that was a large number until I attended a meeting  
7 dealing with stroke.

8 Stroke is a much more variable disease. First of  
9 all, it occurs acutely and some patients get better in a  
10 matter of a few minutes or hours because they have had TIA's  
11 and not strokes. That is a very large contamination if you  
12 want to do an acute stroke intervention.

13 The recovery rate from stroke is highly variable.  
14 Some people become completely normal, and some are left with  
15 a fixed neurologic deficit. To deal with these statistical  
16 issues, current stroke trials that are being carried out in  
17 the United States and Europe are now employing upwards of  
18 2000 to 3000 patients in order to design trials that can  
19 produce answers because of the variation in the patient  
20 population.

21 We are not dealing with anything near that issue.  
22 The course of Alzheimer's disease, although highly variable,  
23 when placed in perspective to stroke, is relatively predic-  
24 table.

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1 of biological markers. I don't believe that we consider it,  
2 from the regulatory point of view, necessarily an impediment  
3 to the development of drugs. There are many conditions for  
4 which there are no biological markers, and the law allows us,  
5 or perhaps obliges us, to focus on clinical phenomenon.

6 There are the problems, as you enumerated them,  
7 with how does one measure the relative clinical phenomenon.  
8 Nonetheless, specifically with regard to biological markers,  
9 I don't know that that is really a problem for us.

10 DR. DAVIS: I just want to take us in a slightly  
11 different direction and elaborate on some of the questions  
12 that Peter raised in his initial conversation. I think few  
13 would have any doubt that double-blind controlled trials are  
14 the standard of the field, the only way to establish efficacy.  
15 The real question, however, for this field is the one that  
16 Peter raised, and that has to do with heterogeneity.

17 If we have a condition that affects 2 million plus  
18 people in the U.S. and in equal number in Western Europe, and  
19 we have a treatment that might only affect 20 percent of  
20 them, that is still a very substantial number of people that  
21 can have a real public health impact.

22 The question becomes, what kind of design can we  
23 use that might reliably identify that subgroup and show  
24 that, in fact, they are responding. It's a very difficult  
25 problem.

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1 It has been approached in some methodological  
2 treatises by multiple crossover designs to show that people  
3 are repeatedly responding. It has been approached in  
4 studies that are going on in our field by some a priori  
5 stratification and identification, or enrichment.

6 I think these are the tough issues that we have to  
7 face, and I am sure there won't be any consensus. But it is  
8 certainly a conundrum for the field at this point.

9 DR. WHITEHOUSE: I think this heterogeneity issue  
10 is key, too, Ken. I think, as you suggested, there are some  
11 pre-hoc things that you can do like stratification. The  
12 issue of rechallange, I think, is something that is talked  
13 about a lot but not done. So, if you do identify 20 percent  
14 of your group that responded, then the next thing to do is  
15 take them off and then put them back on, and see if the same  
16 20 percent reponds, or see if it is a different 20 percent.

17 DR. DAVIS: And that would seem reasonable except  
18 for the problem that in a degenerative disease, it is  
19 conceivable that responsivity will change over the course of  
20 the illness which makes it, again, equally complex.

21 There are no simple solutions, but clearly,  
22 rechallange is something that we could do a lot more of and  
23 it relates back to, I think, David's initial point which is  
24 that if we move immediately from animal studies to double-  
25 blind, parallel-controlled, investigations, we make a big

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1 mistake.

2 We make the mistake of being able to identify,  
3 early on, some hint of whether the drug is doing something  
4 preliminary to ever thinking about NDA application, clearly.  
5 But I think companies might save themselves a lot of money by  
6 getting some reflections at a few good centers of whether an  
7 agent in which there is unlikely to be false positive  
8 response has any responsivity at all.

9 DR. FERRIS: To follow up on that issue in terms of  
10 what, in recent years, has been a major gap between what is  
11 discovered preclinically, generally in rodent models, and  
12 then jumping right into full-scaled Phase III trials, there  
13 is an awful lot in between. It doesn't just involve,  
14 necessarily, small human trials.

15 A lot can be done at the preclinical level in terms  
16 of looking at just what the drug is doing in rodents, moving  
17 to primates, and, furthermore, in early human trials, at  
18 least trying to look at similar kinds of processes and  
19 functions in man that were apparently showing drug effects in  
20 animals.

21 I will have a little more to say about that later  
22 this afternoon.

23 DR. KATZ: To address something that Dr. Davis had  
24 mentioned, and others, the notion of starting out small,  
25 small pilot studies, perhaps uncontrolled studies, what is

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1 the likelihood, or what is the evidence, for that matter,  
2 that you will see something meaningful in such studies.

3 If you are not going to see anything large, and so  
4 far we haven't in large well-controlled trials, what is the  
5 value of starting off small, a few patients, where the  
6 results would be unreliable, at best or, for that matter, in  
7 which a promising treatment might be rejected because it just  
8 couldn't be picked up in a small trial.

9 DR. DRACHMAN: I would like to make a comment about  
10 that which is that you don't have any idea of the patient  
11 population that will respond. In the drug study that I  
12 alluded to that is underway, patients who have severe  
13 Alzheimer's disease are being eliminated right from the very  
14 beginning. As far as I know, it is the vegetative patient  
15 in the nursing home who would profit the most from this drug.  
16 I don't have any idea.

17 It isn't clear from rats that run a maze that you  
18 identify a Mini-Mental State of 13 to 23 as the ideal  
19 population to treat with a particular drug. It isn't clear,  
20 even from a population like that, that what you want is  
21 individuals under a certain age, over a certain age, with a  
22 certain degree of deficit, with a certain type of deficit,  
23 those with obstreperous behaviors, those who are behaving  
24 perfectly normally.

25 So if there is a drug which is psychoactive and

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1 which has some -- I use that word with apologies, by the way,  
2 but which has some reasonable effectiveness, and you don't  
3 have any idea of the group of individuals who could benefit  
4 the most from it, you have to try to find out.

5           The second part of your question which is suppose  
6 it has a very tiny benefit, which is one of my favorite  
7 problems in this field; that is, suppose thousands of  
8 Alzheimer's patients could benefit by a single point on their  
9 IQ scale, using the WAIS; would that be of value as compared  
10 with a few hundred patients who could improve their IQ's by  
11 20 points. That is, the precise level at which you set your  
12 threshold for success will determine whether or not a drug  
13 can, in fact, be tested other than with a very rigorous trial  
14 in order to get an idea of who seems to be benefitting from  
15 it so you can then zero in and do the double-blind study with  
16 parallel structure later.

17           But you need clues, I think.

18           DR. THAL: I want to make one point coming back to  
19 the issue of subgroups. That is always somewhat of a  
20 disturbing issue because the issue of subgroups makes the  
21 assumption that there is something biologically different  
22 about the disease in different patients.

23           I think that is perfectly viable if you can  
24 actually show a biological difference. However, I caution  
25 people that, to my point of thinking -- and I am going to



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1 take an extreme point of view for point of argument's sake.  
2 This is like saying that a person that has polio involving  
3 his left foot is different than a person that has polio  
4 involving his right arm.

5 They look different but they are both caused by the  
6 same disease, and I don't think that I would look for two  
7 different types of treatment for these two individuals with  
8 polio.

9 To my mind, at this particular point, Alzheimer's  
10 disease does appear to be a unitary disease process. I am  
11 taking an extreme point of view. You are all entitled, and  
12 will undoubtedly disagree with me. But until somebody is  
13 able to convincingly demonstrate, from a biological point of  
14 view, that there are multiple etiologies of Alzheimer's  
15 disease or that the biology is clearly different, I think we  
16 should be very careful about biological subgroups.

17 Secondly, while I think it is perfectly reasonable  
18 to look for subgroups for treatment, one also has to remember  
19 that once a drug is marketed and labeled for treatment of  
20 Alzheimer's disease, it is going to be used by essentially  
21 all patients with Alzheimer's disease.

22 You may think that is a good idea or a bad idea,  
23 but that is what is going to happen. And I think that is  
24 worth some discussion as well.

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1 is not multiple etiology, the problem is finding one etiology  
2 for starters. I think that analogy to polio, unfortunately,  
3 isn't very good. At this point, ten years into it, I think  
4 we know less about the etiology of Alzheimer's than we  
5 thought we knew five or six years ago.

6 One is reminded of the situation with cancer thirty  
7 years ago when there were people who said it was caused by  
8 viruses, and people who said it was caused by toxins, and  
9 people who said it was caused by genes, and people who said  
10 it was caused by waves. Of course, they were all right,  
11 weren't they, as it turned out, in retrospect.

12 I think that with Alzheimer's disease, one can make  
13 the strong case that dementia does not, necessarily, equal  
14 Alzheimer's disease in all patients, that Alzheimer's is not  
15 necessarily one disease, that the underlying biologic  
16 theories we have had that Alzheimer's is related to the death  
17 of neurons may not be correct.

18 Certainly, at this point, as my colleague next to  
19 me, I'm sure, will agree, one could say the loss of choline  
20 acetyltransferase can no longer be taken as evidence of the  
21 death of the neurons that had contained it. We can no longer  
22 say that the plaques and tangles that Dr. Alzheimer saw are  
23 necessarily related to the pathogenesis of the disease.

24 In fact, it has been suggested that they may  
25 reflect just the opposite, namely, an attempt on the part of

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1 neurons to grow faster.

2 So I think, at this point, we really know next to  
3 nothing about the etiology, whether it be 1, 2 or 6 of  
4 Alzheimer's disease and the likelihood of it being heterogen-  
5 eous, I would say, is far better than it being a homogeneous  
6 entity.

7 So there are two rather polar views.

8 DR. REISBERG: I would like to response to some of  
9 David's comments regarding finding drugs that work, and also  
10 some of Ken's comments regarding methodology.

11 It seems to me that we are, today, at a point of  
12 tremendous potential opportunity in terms of Alzheimer's  
13 research. I am thinking back to what Paul said, the dictum  
14 "rarely to cure, sometimes to treat, always to comfort." It  
15 seems very clear, from my standpoint today, that there are  
16 many symptoms in Alzheimer's disease, and David was alluding  
17 to some of these symptoms, which are, clearly, likely to be  
18 amenable to pharmacologic intervention.

19 I am speaking of symptoms such as agitation and  
20 verbal outbursts and violence and anxieties and obsessive  
21 behaviors. And it seems very clear to me regarding the  
22 reserach on Alzheimer's that many of these potentially  
23 remediable symptoms are, clearly, a major source of burden  
24 for caregivers of the Alzheimer's victim. They seem to be a  
25 cause of premature institutionalization.

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1 They also seem to be a cause of increased morbidity  
2 in the Alzheimer's victim.

3 The methodology exists today. This is a very, very  
4 important part, I believe, of the meeting here today, to  
5 separate out these probably potentially remediable behavioral  
6 symptoms, sometimes to treat, from other symptoms, cognitive  
7 symptoms, which may not, today, be amenable to pharmacologic  
8 intervention.

9 Clearly, there is a need to treat the primary  
10 cognitive symptoms of the illness process. Indeed, this is  
11 the reason that many of us are here today.

12 However, there has been a tragic historic error  
13 made in Alzheimer's disease research and that is that the  
14 cognitive symptoms in the illness have been mixed with the  
15 behavioral symptoms in the illness. The result has been  
16 that treatments have been promulgated which have very, very  
17 subtle effects on behavior.

18 These subtle effects on behavior have translated  
19 into equally-subtle effects on cognition. Of course, these  
20 very subtle effects on cognition have been deemed to be of  
21 enormous significance.

22 There is some tendency -- and I think this is very  
23 important for us here today, in terms of our methodologic  
24 discussions -- there is some tendency today, also, to mix  
25 these symptoms together. It seems to me that if we continue

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1 to do this, we will have a plethora of treatments which truly  
2 do nothing to relieve the burden of the caregiver, nothing to  
3 relieve the illness in the patient.

4           These ineffective treatments will draw resources,  
5 enormous resources, from the search for truly effective  
6 behavioral interventions and truly effective cognitive  
7 interventions. And we will, eventually, proceed to methodo-  
8 logic discussions, but I will simply say that there is  
9 methodology today for separating the cognitive and the  
10 behavioral symptoms.

11           Some of David's comments regarding the range of  
12 patients relates to this. At a certain range, early in the  
13 disease, you can get patients and exclude patients who have  
14 any behavioral disturbances, and look at cognition very, very  
15 carefully using many different measures, and show whether or  
16 not a drug does anything cognitively.

17           At the other end of the spectrum, as David was  
18 alluding to, there are other patients who are very, very  
19 agitated. We need to study the agitation in those patients  
20 and see what the effects of any medications are in cognition.

21           It seems to me that the hope and promise of this  
22 meeting is that it will bring us closer to the very modest  
23 solution that Paul invoked; sometimes to treat.

24           But I also think there is a danger in this meeting  
25 today. There are methodologies which are extant, which will

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1 bring us further away from the solution and we may end up  
2 with a plethora of treatments which really do nothing.

3 DR. KATZ: I think that the methodology to study  
4 the specific symptomology is something that we will get into.  
5 I want to bring back the discussion a little bit towards the  
6 original focus, the need for clinical trials, controlled  
7 trials, and to combine it with something that is gaining some  
8 credence here, the notion of the small pilot trial in the  
9 development of a trial.

10 How does that jibe with what Dr. Whitehouse was  
11 talking about, which I believe is a very real phenomenon;  
12 that is the public's perception of the role of clinical  
13 trials, the necessity to have drugs available right away with  
14 a glimmer of hope? How does the small, possibly encouraging,  
15 pilot trial -- what does that do to the formal, definitive  
16 clinical trial in the public's mind and in the mind of the  
17 community?

18 DR. WHITEHOUSE: To answer that question, I think  
19 the public doesn't have a sense of when there is a small  
20 trial and when there is a large trial. That is the whole  
21 problem, not understanding the whole process of development.  
22 That is the responsibility of people who publicize small  
23 trials as if they are definitive trials.

24 I would, again, like to stay within the heart of  
25 what I think the focus of this session is, and focus on the

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1 natural history control because the law allows that kind of a  
2 control group as included in the same category as providing  
3 efficacy.

4 I don't consider that in the same category. I  
5 consider a natural history control as more akin to these  
6 kinds of small -- it doesn't have to be small in terms of  
7 number -- but, at least, lower rank in terms of being able to  
8 convince me of efficacy.

9 But I wonder if we can get some consensus on that  
10 from this group as to whether natural history controls are in  
11 the same standard of providing evidence for efficacy, but  
12 also wondering whether, as we learn more in Marshal's center  
13 and Ken's center and David's about the natural history,  
14 whether on a site-specific basis, natural history controls  
15 can, in fact, become a second-rank but more effectively-used  
16 way of screening medications.

17 I don't consider them in the same rank, even though  
18 they are in the legislation. But I wonder if there is more  
19 of a role once we understand the natural history a bit more,  
20 at least at specific sites recognizing that the heterogeneity  
21 across sites is quite great, whether that is something that  
22 should be explored further.

23 DR. KHACHATURIAN: I would like to put a slightly  
24 different spin on the discussion and identify the problem  
25 slightly differently; that is, I think it is similar to the

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1 points that Peter alluded to. The issue, as I see it, is  
2 trying to find a balance between two conflicting needs.

3 One is the regulatory need to determine safety and  
4 efficacy, which is the law, through controlled trials. I  
5 think that speaks for itself. I think there is a need for  
6 it. I don't think that should really be challenged.  
7 Perhaps we can improve the process. The other is the need  
8 of the patients. There is something like 4 million people  
9 affected by this disorder in one way or another, and there is  
10 a need for immediately dealing with that problem.

11 I am wondering whether there is a possibility to  
12 examine whether those two needs, conflicting needs, could be  
13 met, that is to carry on the controlled clinical studies as  
14 has been done in the past with other drugs. I don't think  
15 that should change, but, at the same time, to find a way where  
16 the needs of the patients are so desperate could be met.

17 After all the public that is really raising  
18 questions about the clinical trials is coming from that  
19 pressure, that the patient has some problem now, they are not  
20 being included in the trial, they see the need for immediate  
21 relief.

22 DR. KATZ: I have my own thoughts on that, as I'm  
23 sure you know. I would like to open that particular  
24 question to the panel.

25 DR. KHACHATURIAN: I was going to suggest some



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1 solutions. At the present time, we have the means to do  
2 both, I think, because we have the centers, a large number of  
3 other programs supported by NIMH and other groups where we  
4 have access to patients. Perhaps when a new agent becomes  
5 available, when a trial is being proposed, a first screening  
6 could be done of all the eligible patients that are going to  
7 be likely to be included, that meet the criteria for the  
8 trial.

9 Once that has been done, there should be a second  
10 segment of patients that are not likely to be included in the  
11 trial that still could benefit from the trial. Perhaps  
12 these could be put in the --

13 DR. KATZ: Excuse me; benefit from the drug or  
14 benefit from the trial?

15 DR. KHACHATURIAN: Presumed drug that in the minds  
16 of the clinicians perhaps could benefit. A parallel study  
17 that is less controlled could go on, but without really  
18 interfering with the results of the clinical trial.

19 If this kind of an approach, perhaps, could address  
20 the needs of the FDA and the scientific community as well as  
21 the community out there that is really desperately for some  
22 resolution.

23 DR. KATZ: This is a real problem, and it has been  
24 proposed in other contexts besides Alzheimer's disease. As  
25 I say, I have my own strong feelings on it, but I would be

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1 interested to know what the panel thinks about that.

2 DR. FERRIS: I think this really is a problem, and  
3 I have some serious concerns about whether there really is a  
4 feasible way to do this that would not ultimately overwhelm  
5 the process we are most interested in.

6 Peter spoke briefly about the issue of placebo  
7 response in Alzheimer's studies. He mentioned one very  
8 critical aspect of that placebo response, and that is the  
9 placebo response of the patient's family. I think anyone  
10 who has done studies with Alzheimer's patients, whether they  
11 have documented this phenomenon or not, have seen it over and  
12 over and over again.

13 The patient will suddenly remember something. It  
14 might be the only thing they have remembered in years, and  
15 that is suddenly taken as a dramatic improvement by a family  
16 member. It actually can infect the professionals doing the  
17 trial. It is a potential contaminant of one sort or another  
18 leading to, perhaps, a placebo response on the part of the  
19 investigators.

20 My fear is that to the extent that there would be,  
21 in parallel with the kind of trials we all want to see, less  
22 desirable experience with the drug in terms of scientific  
23 rigor. There would be, I think, potentially be so much  
24 feedback of information from those trials, particularly into  
25 the media, for example, that it could really destroy the real

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1 scientific investigation of a compound.

2 And I really see this whole issue of the attack on  
3 the process we all seem to agree is essential, namely placebo  
4 controlled trials, as a very serious one. It reminds me  
5 somewhat of the Animal Rights movement and so forth which is,  
6 perhaps, coming out of a different context but, nevertheless,  
7 when there is an upsurge of public response of one sort or  
8 another, I think that the people that know better, the people  
9 here in this room, including the industry people, need to  
10 properly respond.

11 I am just seconding what Peter said in his opening  
12 remarks. I think it is very important for all of us, in a  
13 concerted way, to defend the slide that Peter showed.

14 DR. FOLSTEIN: I would just like to briefly support  
15 Zaven's position but with a slight spin of my own on it.  
16 First of all, I think that there is absolutely no question  
17 that clinical trials more than other scientific endeavors are  
18 a social process as well as a logical process, and that the  
19 social process of clinical trials in Alzheimer's disease is  
20 very critical.

21 One of the functions of critical trials is to  
22 maintain hope. We have been talking about giving false  
23 promises, but in fact a physician's responsibility is to  
24 maintain hope. Really having a trial maintains hope and is  
25 good for the patients, and it generates a lot of other

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1 research.

2 So I would be in support of finding some way of  
3 having more controlled clinical trials. I would probably  
4 not want to have them be less stringent, but one example that  
5 I could think of would be to find a way of permitting trials  
6 of safe substances in Alzheimer's disease. I think Hydergine  
7 is a perfect example of it, not to bring up all the baggage  
8 that goes with Hydergine, but you could logically think of  
9 lots of kinds of compounds that would be safe.

10 For example, antioxidants or aspirin; you could  
11 think of a pharmacological rationale for using them even  
12 though you don't expect the possible payoff to be very high.

13 But the initiation of such trials, in and of  
14 themselves would be productive of hope in the patients and  
15 would relieve some of this pressure that everyone feels that  
16 operates these centers. I mean, on every visit, the patient  
17 says, "Well, is there a new drug yet?"

18 And we say, "Well, no. We are just following you  
19 longitudinally." That's not very helpful to them.

20 So we really do need more trials. But I think  
21 that we don't want to lower our standards as far as the  
22 controls are concerned, but rather we should increase the  
23 possibility of using more safe substances.

24 DR. DRACHMAN: I would like to follow up on what  
25 Zaven said and second it and, perhaps, put a little other

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1 emphasis on it. Clearly, we are trying to deal with the  
2 science of determining the validity of a drug for the  
3 treatment of Alzheimer's disease here. But it is equally  
4 clear that that isn't what we are doing at all.

5 What we are really doing is fending off the  
6 supposed and real attacks from the media, demands from the  
7 public, the -- shall I use the negative term -- avarice of  
8 those who might even profit from some things as drugs have  
9 worked in this sphere so that, at the same time that we are  
10 trying to make a scientific decision about precisely how we  
11 can determine the success of drugs, we are really dealing  
12 with a whole lot of other problems simultaneously.

13 I frequently visualize -- Paul, I haven't told you  
14 this -- but I frequently visualize Paul as Horatius at the  
15 Bridge. I'm sure some of you remember that scene, Lars  
16 Porsena of Clusium, "By the nine gods he swore," and it is  
17 clear that such an event is always hovering in the background.

18 How we deal with trials is influenced both posi-  
19 tively and negatively by our perception of how the public,  
20 the media, the drug companies, the individual investigators  
21 who want their names in lights, even for fifteen seconds on  
22 Twenty-Twenty, or whatever it is. All of these things are  
23 really influencing how we respond.

24 I think we should take them apart. I think we  
25 should deal with them one at a time. How do you determine

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1 the effectiveness of a drug is one. How do we deal with the  
2 legal requirements it two. How do we keep the media off our  
3 backs is three. How do we work with a type of drug industry  
4 which, as I have frequently told people, has profits that  
5 make the crack industry look trivial at times, is four.

6 So, frankly, I think we do need to separate these.  
7 I am often in the center of this, as many of you are well  
8 aware. Every time something like this comes out in the  
9 press, or threatens to, my phone rings -- until October,  
10 anyway when I no longer am in the role where I have to  
11 respond to every such claim.

12 But I do believe that we have to separate these  
13 when we deal with how a drug works. Does it work? Can you  
14 test it this way? What do you release to the press? What  
15 becomes an official accepted drug? Separate issues.

16 DR. WURTMAN: The problem you articulated very  
17 well. The FDA seems to have a dual responsibility; one is a  
18 certification responsibility. I sense universal agreement  
19 that there should be no dilution of the scientific criteria  
20 that the FDA uses to certify drugs. The second is basically  
21 almost a political-administrative-economic-social respon-  
22 sibility acting on that certification and determining what is  
23 what is not allowed to go public.

24 Perhaps what we need is a separation of these  
25 responsibilities in which is either some second body assists

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1 in allowing for exceptions or a new category of drug allowance  
2 develops in which a drug, based on inadequate certification,  
3 could be made available, let's say, for a year or two and  
4 then, after massive use during that time, a reassessment  
5 based on clinical grounds could determine whether making that  
6 drug available has been politically, socially, and maybe even  
7 scientifically, useful.

8 DR. KATZ: I want to say that there are mechanisms -  
9 - the whole regulatory mechanism for the development and  
10 regulation of drugs is fairly flexible. There is a specific  
11 mechanism known as the treatment IND which allows a wider  
12 exposure to a drug which has not yet met the regulatory  
13 requirements for approval, but about which we know a great  
14 deal, including the fact that it works.

15 There is strong evidence from control trials that  
16 it works and that it is reasonably safe. So I don't know  
17 that there needs to be a special mechanism that allows -- but  
18 again, it allows it late in the process and there are  
19 defensible reasons for that.

20 DR. DAVIS: We, sadly, have to deal with the media.  
21 The political reality is Alzheimer's disease affects many  
22 people. Now, it is not necessarily a bad thing that our  
23 constituency wants to be informed because that constituency  
24 are the very people who make possible the funding for the  
25 kinds of breakthroughs that then go ahead and stimulate drug

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1 development.

2 If we are dealing with cholinergic drugs, it is  
3 because there was funding that demonstrated what the neuro-  
4 chemical defects are and when, in the next decade, we deal  
5 with drugs that may change the processing of the amyloid  
6 precursor protein, that will be directly related to the  
7 extraordinary increase in funding that we have seen for  
8 Alzheimer's disease that, in fact, is a result of mobilizing  
9 constituencies who have an interest, appropriately, in  
10 finding a treatment.

11 The question for us becomes this: we need them.  
12 They deserve the information. How can we perform our role  
13 and still inform them.

14 I think we can't run away from them, but we just  
15 have to stand up for what we believe is the necessity for  
16 rigorous science. But I don't think it is appropriate to  
17 believe that when Twenty-Twenty calls, or when the phone  
18 rings off the hook, that we can run away from them.

19 DR. RASKIND: Do I have to be as entertaining as  
20 the other panelists, or should I just do my own thing. I  
21 just want to address the topic. Our group at the University  
22 of Washington just published a very small placebo-controlled  
23 trial of an antidepressant drug in patients with Alzheimer's  
24 disease who met criteria for depression.

To our shock and surprise, the antidepressant



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1 worked very nicely and the placebo worked equally as well.  
2 This is in a non-cognitive type of symptom, the type that  
3 Barry has referred to. But it was surprising to me how many  
4 people were upset at this finding because everybody was sure  
5 that in their practice, when they treated unhappy or depressed  
6 Alzheimer's patients with tricyclics, these drugs were  
7 effective.

8 In fact, I was actually mad at myself, because I  
9 had the same feeling. I am now totally convinced that no  
10 matter what aspect of Alzheimer's disease you wish to treat,  
11 a controlled trial is absolutely necessary. Furthermore, if  
12 you base your judgment of efficacy on large clinical ex-  
13 perience after several years, all of these drugs will be  
14 effective.

15 Everybody believes, especially if they have a  
16 convincing care provider, that whatever is being given to  
17 them is working somehow, at least the care providers do.  
18 And I think that is dangerous.

19 Final point; these trials which I think are very  
20 important for the Alzheimer centers and for the community of  
21 Alzheimer care providers and victims are also extremely  
22 expensive, not only financially expensive but expensive in  
23 the time which investigators who, perhaps, could be dis-  
24 covering something important about the processing of the  
25 amyloid precursor protein, in case that turns out to be

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1 important, are, in fact, performing these clinical trials.

2 I think there is going to be a point at which the  
3 morale issue is going to be somewhat self-defeating. If  
4 these trials are not producing, over time, and a lot of  
5 effort goes into them, both the investigators and the  
6 Alzheimer's victims and caregivers who are participating are  
7 going to start questioning what we are doing.

8 I am not giving any answers, but I think that  
9 trying everything in huge trials is something to be avoided.

10 DR. KATZ: I would ask the speakers to speak close  
11 and directly into the microphones.

12 DR. REISBERG: Of course, as Peter underlined  
13 repeatedly, controlled trials are necessary in medical  
14 research, generally, but also -- and this is really an  
15 extension of the point that you were just making, Murray,  
16 there are various reasons why controlled trials are par-  
17 ticularly necessary in Alzheimer's disease research.

18 One of these reasons is the horizon phenomenon;  
19 that is, that in Alzheimer's disease today, we are literally  
20 at the horizon. There have been no treatments which -- and  
21 I think any of us will stand up and say they believe -- have  
22 been convincingly been shown to be effective in alleviating  
23 either the primary cognitive symptoms of Alzheimer's disease,  
24 and even as we both know very well from our reviews of this  
25 literature, even the other symptoms of Alzheimer's disease,

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1 the behavioral symptoms.

2           These statements apply both over the short term and  
3 over the long term, and also prophylactically. This horizon  
4 phenomenon places us at a point of tremendous opportunity  
5 because if we get anywhere with anything on any symptom, then  
6 we are a little bit off that horizon.

7           However, this horizon phenomenon also presents us,  
8 and I think we have all experienced this, with an enormous,  
9 enormous pitfall; that is, that any deviations off the  
10 horizon, if they are real, immediately assume enormous  
11 significance. And we can only distinguish, for the various  
12 reasons that have been alluded to here, because of effects on  
13 family members was translated into effects on clinicians and,  
14 indeed, may translate into effects on patients, as well, the  
15 care that they give the patients.

16           We can only distinguish these very important issues  
17 with very, very carefully structured controlled trials.

18           DR. THAL: I would just like to refocus on Peter's  
19 question. I think everyone has agreed that to definitively  
20 release a drug, one needs a controlled trial. What about  
21 the issue of what do we do with those patients that are not  
22 in clinical trials, but who want access to the drug? To  
23 what extent should the drug be made available to them outside  
24 of clinical trials, and how should that be done?

DR. DAVIS: I will try that, Leon. Most people

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1 who come to centers don't enter trials because of exclusion  
2 criteria. Most people, in our experience, who then come to  
3 the center and even enter trials ultimately are disappointed.  
4 So we have a large cadre of people who are either not getting  
5 drugs because they have other system disease and can't get  
6 them, or get drugs and then when the trial is over, except  
7 for an occasional member, say, "So what?"

8 Disappointment is what is our stock and trade. I  
9 see very little that we can do about that unless we have  
10 agents that are so safe we can distribute them to everybody.  
11 However, the question of the treatment IND is very important  
12 and, perhaps, sometime in the next two days, we should spend  
13 some time discussion when is there enough promise that a drug  
14 can be extended to a treatment IND and it can be broadened to  
15 include individuals who otherwise may not be available to  
16 trials.

17 DR. WHITEHOUSE: What I do if somebody is not  
18 eligible for a study is, in fact, set up a mini kind of study  
19 for them with either Hydergine or lecithin with an idea that  
20 they would, at least, be participating in a process of  
21 looking at something that in both those case is safe.

22 I think there is a great danger in creating other  
23 studies that would be time consuming and expensive to study  
24 drugs that we are really only interested in giving to people  
25 to assuage their need to be in a big study.

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1 Again, I go back to my main focus that we need to  
2 educate people about how the process occurs. So much of the  
3 desire to be in studies is, I think, based on misinformation.  
4 And it is based on, also, a degree of selfishness. It is  
5 kind of like a question that I was asked yesterday; it is the  
6 ultimate question for a doctor. "If it was your mother who  
7 had the disease, which study would you have her in?"

8 And I said, "I would feel not obligated to have her  
9 in any," but I would like to have her in something so that she  
10 could participate in the process that as a society we are  
11 going through trying to develop an effective medication.

12 So rather than try to have people be motivated to  
13 get in these studies on misinformation and kind of a selfish  
14 need to get the latest thing, let's try to educate them about  
15 the process and about how they can participate. Even if  
16 they are in the placebo group, they are still making a  
17 contribution and they are still fighting this illness.

18 I really thing that long-term -- and it is long-  
19 term -- that having people understand what we are doing a  
20 little bit better is ultimately the key. I also object to  
21 some of the comments that I have heard here that are negative  
22 towards the media. Just as we have to educate the media, we  
23 have to learn from the media and learn what their needs and  
24 desires are, as well, because we can, more effectively, work  
25 together.

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1 To create an adversarial situation is not a good  
2 idea.

3 DR. THAL: I would like to return back to the  
4 original question before we run out of time; that is the need  
5 for clinical controlled trials. Every one of you has come  
6 up with the position that you agree that we do need controlled  
7 clinical trials. We haven't, I don't think, fully discussed  
8 the control issue.

9 We have had some discussion of placebo controlled  
10 trials. We have had some discussion of historical controls.  
11 Can I get a little bit more discussion on the types of  
12 control groups that are appropriate for these trials? The  
13 question is, does anyone feel that anything other than a  
14 placebo controlled group is appropriate, so that we can at  
15 least reach some closure on the issue, if possible.

16 DR. RASKIND: I would be happy to start and maybe  
17 finish. I'm a sorter when it comes to Alzheimer's disease  
18 as far as course of illness. The more patients you see, the  
19 more you are impressed that the progression of the disease is  
20 not homogeneous, at least in the period of two, three or four  
21 years, or two years, say, we are talking about. So I think  
22 historical trials are very dangerous in this area.

23 I don't think they can be interpreted.

24 DR. KATZ: Are there no subpopulations of patients  
25 with the disease, either in terms of their symptomatology

1 and/or their severity of their illness for whom the natural  
2 history is so well-defined that they could possibly be  
3 amenable to study in an historical control?

4 DR. DRACHMAN: That isn't quite true, of course.  
5 But I think that deals with a ridicula ad absurdum. If there  
6 were a patient who was in a nursing home and non-verbal, who,  
7 given a drug, woke up and spoke in an intelligent fashion, I  
8 don't think we would need a great many placebo controls to  
9 recognize that this is somewhat beyond the ordinary effectiv-  
10 eness of lecithin, shall we say.

11 So part of the argument involved here has to do  
12 with the order of magnitude of the effect that one is  
13 attempting to discover as to whether or not you truly need  
14 placebo controls. We are talking, as was so nicely put,  
15 about the horizon effect. I would agree that if we are  
16 looking for barely-detectable improvements in minutiae of  
17 behavior, we certainly do need placebo controls.

18 In fact, every drug that we have been able to study  
19 so far, we clearly need placebo controls. But should there  
20 be a different kind of drug, I think we could consider the  
21 alternative of historical or experiential controls.

22 DR. FERRIS: This raises another issue, of course,  
23 or another distinction that needs to be made. I think if  
24 you start thinking about the possibility of natural history  
25 type of control situations, you are really beginning to need

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1 to separate what the target of treatment is; namely, the  
2 distinction between improving symptoms during a relatively  
3 short period of days or weeks or a few months versus possibly,  
4 down the road, putative treatments that show the course of  
5 further progression, further deterioration, of the patient.

6 I think in what we are all basically doing now,  
7 namely attempting to reverse symptoms in the relatively short  
8 term, the placebo control is going to be indispensable  
9 because there isn't really much to look at in terms of  
10 distinctions and in change in symptoms or downward course of  
11 symptoms over relatively short periods.

12 On the other hand, if we were talking about a study,  
13 which virtually hasn't been done, to look at the effect of a  
14 compound over two, three, four, five years, where we have a  
15 pretty good idea what kinds of changes would occur, at least  
16 on a group basis, over a three, four, five year period in 100  
17 Alzheimer's patients who were properly diagnosed.

18 I think, then, there are opportunities to not  
19 necessarily have to be as rigorous in terms of placebo  
20 control.

21 DR. KATZ: Which brings me to a question I want to  
22 raise. We don't have much time, and it may be discussed in  
23 the next session, but what do people feel is the appropriate  
24 duration for a trial in Alzheimer's disease? From a  
25 regulatory point of view, if a bona fide drug effect could be



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1 shown in a very brief period of time, that would meet the  
2 letter of the law, but what would the pane think, again  
3 briefly, about what is an appropriate duration of time?

4 DR. DAVIS: I had early on, based on some of the  
5 studies that we had done, that effects could be shown very  
6 quickly. I have come to change that view. The reason I  
7 have is twofold. The first, when one considers some of the  
8 animal models, for example, in the Pass Avoidance Task, a  
9 very short-acting drug is given at one point and a behavioral  
10 effect is noted 72 hours later.

11 In people dealing with issues like memory, it may  
12 be that the brain can function marginally better for some  
13 time before there are obvious behavioral changes of what are  
14 a marginal increment in the neurobiology.

15 That has been borne out to me by my clinical  
16 observations which my bias was that it would be a short time,  
17 but my clinical observations are, now, that some of the  
18 larger effects happen towards 6 weeks. So I don't know how  
19 long it could be. It might be longer than we have ever been  
20 doing.

21 DR. WURTMAN: There is a corollary question.  
22 This is, should the duration of treatment be long enough so  
23 that the placebo group will show a deterioration, and how  
24 many months does it take before one gets a statistically-  
25 significant deterioration in the placebo group? And, if they

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1 don't get a deterioration, or if most of them don't, perhaps  
2 did they really have Alzheimer's disease.

3 DR. THAL: I will answer that question in the next  
4 session with some data. What I would like to do is open it  
5 for questions or comments from that audience.

6 DR. LEBER: I want to raise an issue very rapidly  
7 with you because I think everyone agrees that control trials,  
8 at least on this panel, are necessary for the confirmatory,  
9 regulatory decision. But you are leaving the audience with,  
10 perhaps, the perception that it is not unreasonable to use  
11 small, open trials that rely upon clinical judgment to  
12 determine whether or not a new drug is an appropriate lead.

13 I think that that is something that you all  
14 recommend, but I would like to ask you what evidence you had  
15 that that really works. I understand that serendipity in  
16 the prepared mind has been a very appealing thing, and that  
17 clinicians like to promote what some statistician once called  
18 the myth of clinical judgment.

19 Just look at the strategy. You are dealing with a  
20 situation in which you are arguing that the low prevalence of  
21 a trait which allowed someone to respond to a drug is what  
22 you are seeking to find.

23 If you were dealing with this in a situation where  
24 you had two urns, one with ten black marbles out of a  
25 thousand, the other with two black marbles out of a thousand,

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1 and your job was to find which urn had more black marbles,  
2 would you sample five at a time, ten at a time, or five-  
3 hundred at a time?

4 That is almost the thrust of what I am getting at.  
5 Even though this is appealing on the surface, it may, in  
6 fact, be self-defeating to suggest that small samples,  
7 because of sampling error, sampling strategies, and the low  
8 prevalence will detect anything other than, perhaps, satisfy  
9 your fancy.

10 DR. WHITEHOUSE: When Collisions found penicillin,  
11 there were no controlled studies. They didn't need them.  
12 So it depends, Paul. It depends on a lot of factors. If  
13 you think that you have got something that is really very  
14 good, you can do it in one patient.

15 (Inaudible question from the audience.)

16 DR. THAL: That is a controlled trial that you are  
17 describing. You are simply describing a crossover controlled  
18 trial. There certainly have been lots of crossover con-  
19 trolled trials done in dementia for a number of drugs, yet  
20 they have not demonstrated efficacy.

21 So that is an acceptable form of a controlled  
22 trial. It has drawbacks, but it is an acceptable form.

23 DR. KATZ: Lets take one more question. It would  
24 be useful if you could come to a microphone and ask your  
25 question into the microphone so all the people could hear it

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1 and it could be recorded.

2 MR. GREG HILLMAN: I am Greg Hillman, the Ernest  
3 Hillard Foundation. I was wondering if the panel could  
4 address the question of at what point do you think it is  
5 unethical to continue randomizing patients in any trial?

6 DR. THAL: Is the question randomization or is the  
7 question continuing treatment, or withholding treatment from  
8 the placebo-controlled group?

9 MR. HILLMAN: The question is withholding treatment  
10 from the placebo control group; at what point do you think  
11 you have enough improvement in the group receiving the drug  
12 that you consider it to be unethical?

13 DR. KATZ: Let me say that stopping rules, so-  
14 called, for clinical trials are more or less well-established  
15 and there are different ones. Those are, in fact, contin-  
16 gencies that are often built into protocols. I don't think  
17 you can say, a priori, what is enough, or how much of an  
18 effect in how many patients. It needs to be worked out.

19 I think it is time for the session to end. I want  
20 to thank all our panelists. I think we have reached at  
21 least a consensus that for definitive efficacy requirements,  
22 placebo-controlled trials are required.

23 The discussion was, indeed, far reaching. It would  
24 be useful if everyone could be back here for the next session  
25 at exactly 11 o'clock.

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1 [A break was taken from 10:50 to 11 o'clock.]

2 SESSION II: GUARANTEEING EXTERNAL AND INTERNAL VALIDITY

3 DR. LEBER: Welcome back. This is the start of  
4 Session II which has the interesting title of External and  
5 Internal Validity. The one thing I like about validity is  
6 that there are so many of them.

7 There are validities that deal with the content of  
8 areas of information. There are concurrent validities which  
9 basically mean that people can agree on things. There are  
10 construct validities which mean we think we know what we are  
11 talking about. There are many private idiosyncratic defini-  
12 tions of validity, so I have to explain what the intent of  
13 this particular panel and session actually is.

14 We are making the assumption that I was prescient  
15 enough to figure out what the vote would be during the  
16 session, and I think I was, that most experienced clinicians  
17 and investigators and neuroscientists recognize that, at  
18 least for the definitive answer on whether or not a drug is  
19 effective, one has to rely on the controlled clinical trial.

20 Controlled clinical trials are simply a nominalism.  
21 You can't describe them unless you describe them in detail.  
22 Obviously, a controlled clinical trial is more than something  
23 listed in the compiled Federal Register, 21 CFR314.126. It  
24 is not just five types of controlled clinical trials that the  
25 Agency would accept.

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1 It involves everything from the nature of the  
2 patient sample that we are going to intend to recruit to be  
3 representative of the illness that we wish to extrapolate the  
4 results of our experiment to, issues that were brought up,  
5 for example, by Dr. Drachman earlier; shall we narrowly focus  
6 on a particular range of the Folstein, Folstein and McHugh  
7 Mental Status exam? Should we pick on people who are  
8 already in pelvic curl and see whether we have a drug with a  
9 Lazarus effect?

10 Should we be looking at people who are early in the  
11 predictive stage, if you will, or possible stage of dementia  
12 and may not have it and we will have to wait for time to pass  
13 to determine retrospectively whether, in fact, they are  
14 demented? So: issues of sample which are critical to issues  
15 of validity, probably more to external than internal validity,  
16 but that, in itself, is an arguable point.

17 What about the question of design issues? How is  
18 it that you do a study which is really based on an age-old --  
19 I mean, I am not going to be upstaged with historical  
20 references no matter how eloquent and entertaining the are.  
21 You know, this whole idea of doing controlled trials is  
22 really from John Stuart Mill. There are various ways to  
23 prove things. There are methods of agreement which don't  
24 work to well because there are a lot of jokes about that, and  
25 I won't get into them.

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1           There is the method of difference which is really  
2 the method we all rely upon. One applies two interventions.  
3 One sees with result with and without the intervention, and  
4 if there is a difference between them, we conclude we have a  
5 drug effect, or whatever other effect we are looking for.

6           In a sense, that is what all clinical trial  
7 methodology of the type we are talking about is really  
8 dealing with. But, what way to do it? When we talk about  
9 prospective, randomized controlled clinical trials, what are  
10 the details. In addition, what kind of interval are we going  
11 to be observing? Under what conditions? Should they be in  
12 patients who are already hospitalized, which has not happened  
13 very often, by the way, to Alzheimer's patients because of  
14 the game in which I could describe third-party payers handle  
15 the problem?

16           Shall we deal with nursing-home patients? Shall  
17 we deal with ambulatory patients who are afraid that they  
18 may, in fact, be Alzheimer's? Those kinds of questions  
19 always emerge?

20           How long? This was a question that Dr. Katz tried  
21 to bring up in the last session and there wasn't enough time.  
22 It is not simply the pharmacodynamic/pharmacokinetic problem  
23 of how long this drug will hang around. It is conceivable  
24 as Dr. Davis was pointing out that the plasticity of the  
25 nervous system lags well behind the administration of the

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1 drug.

2 For all we know, it is a light switch that, once  
3 thrown, takes a long time to produce or light up, as somebody  
4 used in a recent meeting I went to, the image of turning on  
5 the light switch in a gym. You know those lights that take  
6 a long time to get to maximum brightness. The application of  
7 the treatment? There is a dwell time and then the full  
8 flower of the response is seen.

9 If you don't study it long enough in that sense,  
10 you may have missed it.

11 But that is not all. Somebody was pointing out  
12 earlier, you can't step in the same river twice. Therefore,  
13 we have questions of just how long a clinical trial ought to  
14 go to capture something meaningful. An effect that lasts  
15 two days that does disappear, as Dr. Davis suggested, might  
16 be, in effect, not worth looking at. Maybe it is only a  
17 first treatment effect and doesn't persist beyond the first  
18 week.

19 It is an arguable proposition that one would want  
20 to approve a drug of that sort because what benefit will  
21 accrue if you continually treat someone with a drug that  
22 works only for three days and then never works again? So  
23 issues of how long and how to prove how long the drug works  
24 are important and not from a strictly regulatory point of  
view, but from a sensible, public-health dollar compassionate



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1 reason as well.

2           What happens to the drug has some risk. It is has  
3 been our experience -- not just mine, but certainly collec-  
4 tively, that there are darned few drugs in the armamentarium  
5 that are very powerful that aren't, in some way, dangerous to  
6 somebody.

7           The example of using aspirin was mentioned earlier,  
8 but I am struck by the fact that people who do NSAID studies  
9 are always aware that a certain percentage of the patients, I  
10 think over 1 percent, probably have a GI bleed and many more  
11 may have non-detectible GI bleeds.

12           So the drugs that we attribute innocence to, that  
13 we are so familiar with, may, in fact, on a public-health  
14 scale be quite dangerous. Once again, there is the issue of  
15 risk and benefit; how long, for how much, and is that doable?

16           We have all sorts of other questions which,  
17 obviously, have to be addressed. When we get through doing  
18 a particular study, we may, in fact, have an internally-valid  
19 result; that is, using the method of John Stuart Mill, we  
20 have compared, contra rotula, and we find a difference  
21 internally. We conclude that in this particular experiment,  
22 the drug is effective, but to whom is the result extrapolated?  
23 Just how far can we go?

24           Are we going to talk about all demented, pre-  
25 demented, Alzheimer's insipio, or whatever we want to call

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1 it, people afraid they may have it, and so on? In our  
2 societies, you know, once the drug is on the market, the  
3 physician is left to his decision or her decision to decide  
4 just what other individuals can be exposed to it.

5 So we bear a responsibility in approving any drug  
6 for how it will also be used even though it is not the  
7 Agency's responsibility. I would argue it is the respon-  
8 sibility of the academic and medical, and actually, the whole  
9 society, to discern what we are doing when we do it. We  
10 ought to consider things beyond labeling.

11 At least in terms of the moral and ethical judgment,  
12 at least I hope that people external to the Agency will give  
13 us their thoughts about.

14 That is sort of our hope for this session.  
15 Clearly adequate and well-controlled trials, or adequate and  
16 well-controlled trials in the sense they allow valid con-  
17 clusions -- that word again -- that can be extrapolated to  
18 labeling claims that will allow sponsors to market their  
19 products profitably and successfully, but truthfully.

20 Let me now turn to the opening presentation on this  
21 issue. We are lucky enough to have as a Chair of our  
22 Advisory Committee an individual who is an expert, literally,  
23 in the field of drug development in dementia. Although we  
24 have not seen a proven success in his area, I am sure he  
25 believes that he is well on the way to having successes that

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1 will serve as the first robust example of how to do it and  
2 how to do it right.

3           Once that is there, I think our task will be  
4 easier. At any rate, Dr. Thal, in addition to working in  
5 cholinomimetic therapies is a neurologist. He has been  
6 involved with the Agency over a long period of time before he  
7 was on our Advisory Committee, perhaps in a more adversarial  
8 way, arguing about the conditions of his actual use of  
9 clinical trials.

10           But it has been a delight through the decade that I  
11 have known him and dealt with him to be able to listen to  
12 what he has had to say and learn from him. And so today, I  
13 am delighted to have him come forward and offer his comments  
14 on external and internal validity.

15           Dr. Thal.

16           DR. THAL: Thank you.

17           [Slide.]

18           I am only going to touch upon a couple of the  
19 points and items that I am actually scheduled to discuss with  
20 you, but I also want to deal with some of the issues that we  
21 are not dealing with currently; that will have to do with  
22 some of the design considerations for future drug trials  
23 which include some understanding of our knowledge about the  
24 rate of change in this disease, and to present you with some  
25 early observations and some information that may prove to be

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1 useful for you.

2 The definition that we all use of dementia is very  
3 straightforward and simple. This is really the DSM-3  
4 definition. We are all familiar with it and it is really  
5 nothing new to any of us.

6 When we start out looking at a population to carry  
7 out a given drug trial, this is the kind of initial definition  
8 that we will use in order to come up with a population  
9 suitable for study. And we are defining dementia as a  
10 deterioration in intellectual functioning which impairs  
11 cognitive or social performance.

12 Obviously, we are interested in the core symptom  
13 which is memory.

14 [Slide.]

15 We have further honed down our diagnostic criteria  
16 so that for most of the clinical drug trials that are  
17 currently underway, we are interested in patients with  
18 Alzheimer's disease. The groups of Alzheimer's patients that  
19 are available to study have really been categorized further  
20 into three groups; those individuals who have definite  
21 Alzheimer's disease, meaning biopsy proven, who we have  
22 essentially none of for our studies.

23 We have a second group of patients who meet the  
24 NIN, CDS, ADRDA criteria for probably Alzheimer's disease.  
25 These are really a relatively clean group of patients and in

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1 most of the Alzheimer's centers make up about 70 percent of  
2 the patients that we see. These are individuals that have a  
3 clear-cut deficit in two or more areas of cognition, who have  
4 an insidious onset of disease and progression, normal level  
5 of consciousness.

6 If two neurologists, or a neurologist and a  
7 psychiatrist saw the patient, in general, we can all agree on  
8 the diagnosis. Indeed, in our own center, several in-  
9 dividuals review the charts on these patients, and the degree  
10 of consensus is really quite remarkable. We think we have a  
11 pretty good handle on this diagnosis, and on a relatively  
12 pure group.

13 [Slide.]

14 We then end up with another group of patients whom  
15 we often end up diagnosing as having possible Alzheimer's  
16 disease. These are individuals who, indeed, look like that  
17 have Alzheimer's disease but something else is going on.

18 For example, this is the patient who presents with  
19 a visual agnosia as the first presentation of their disease,  
20 turns out to have a memory deficit. We think that the  
21 patient has Alzheimer's disease, but we are not certain. Or  
22 there is the patient who has a concomitant medical condition  
23 such as a thyroid disease or some other medical illness that  
24 may produce dementia, but in the clinician's point of view is  
25 not responsible for the dementing illness.

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1           The question is, what should we do with this group  
2 of patients; should they be included in our drug study?  
3 Should they not be included in the drug studies? If we don't  
4 include them, what happens when we have carried out a drug  
5 study on a group of patients who met the diagnosis of  
6 probable Alzheimer's disease. The drug is now released. Is  
7 it suitable to extrapolate from our very, very pure sample  
8 population to a less pure population to a less-pure population  
9 and apply the drug, and expect to see the same kind of  
10 therapeutic effect.

11           This is a question I am not going to answer for  
12 you, but one that I think we should come back to in discus-  
13 sions at the panel. So diagnostic criteria are one con-  
14 sideration.

15           [Slide.]

16           The second consideration, really, has to do with  
17 the issue of how severe a patient should we include in our  
18 trial and what should the range be. One could span the  
19 spectrum and say, "Well, I'm only going to include patients  
20 who score between 20 and 23 on the Mini-Mental State," or,  
21 "I'm going to take all Alzheimer's patients regardless of  
22 stage of disease because this is the group that I ultimately  
23 intend to treat with the drug."

24           In reality, we end up doing neither of these, and  
25 we end up compromising on some very practical grounds. We

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1 end up compromising on practical grounds because we design a  
2 drug and we need to test that drug. We need to use instru-  
3 ments, and all of the instruments that we deal with have some  
4 degree of flaw or ceiling effect, so that if we use a  
5 Selective Reminding Task, that is an inappropriate task to  
6 use in a nursing home patient population.

7 On the other hand, for a highly-functioning lawyer  
8 who is having some mild memory problems, it is probably  
9 insufficient to look at a simply behavioral rating scale and  
10 say this individual has or has not been improved.

11 And so we tend to pick patients that we can examine  
12 with the clinical scales that we have available to us. This  
13 captures a particular slice of the population and, once  
14 again, if a drug is marketed, we then are going to expand the  
15 use of the drug from the narrow slice of population to  
16 patients with varying stages unless, of course, the regulatory  
17 agency says that one has proven the drug to be effective only  
18 in a certain stage of disease and cannot be used for in-  
19 dividuals who do not fall within that stage.

20 Of course, that will never meet the test of  
21 clinical practice, since as Dr. Leber pointed out, it is up  
22 to the clinician to make the decision about what condition to  
23 use a drug for once that drug has been marketed.

24 So I think that becomes a very important issue, and  
25 I think our initial approach to dealing with it is that, yes,

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1 initially we wish to use as pure a population as possible for  
2 two reasons; one, we wish to answer the question as to  
3 whether or not the treatment will effect and change Alz-  
4 heimer's disease alone uncomplicated by other conditions and  
5 that once that is proven, we need to expand the scope of the  
6 clinical trial and include other patients that have other  
7 concomitant diseases in order to determine whether or not the  
8 drug will still have efficacy in a more complicated state.

9 And similar statements can be made for the degree  
10 of disease; that is, we pick a fairly narrow group that we  
11 can test and later on, if the drug is going to be used across  
12 the board, we need to expand the scope and range of the  
13 dementing population that we chose to treat.

14 [Slide.]

15 Dr. Wurtman raised the issue about how accurate our  
16 diagnosis is without the holy grail of a glucose tolerance  
17 test or a biological marker. I would like to return to that  
18 issue because there are now about seven or eight neuropatho-  
19 logical series that have been published showing a relatively  
20 good accuracy in making the diagnosis of Alzheimer's disease.  
21 This is a series which I simply picked because it has a  
22 reasonable number of patients who reached autopsy.

23 These are 65 patients at the Western Ontario site  
24 published by Hachinski and Wade. 39 of these individuals  
25 met the clinical criteria for the diagnosis of either



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1 possible or probable Alzheimer's disease and, indeed, at  
2 autopsy, 33 actually turned out to have Alzheimer's disease  
3 and nothing but Alzheimer's disease.

4 If you compute sensitivity and specificity, it is  
5 about 85 percent sensitive and about 85 percent specific.  
6 You say, "Well, what happens if you break it down by probable  
7 and possible?"

8 It turns out if you break it down by those categor-  
9 ies and you use probable Alzheimer's disease, the diagnostic  
10 accuracy is about 92 to 93 percent. For just possible, the  
11 diagnostic accuracy drops to about 78 percent, but overall  
12 about 85 percent. I think that is pretty good. That is not  
13 bad.

14 That means that even if we include both probable  
15 and possible Alzheimer's disease patients in our clinical  
16 trials, we will have a misdiagnosis rate of only about  
17 15 percent. I think that is, certainly, acceptable.

18 One may then ask the question, "Well, these are  
19 being done at university medical centers where patients are  
20 intensively evaluated. What is going to happen when the  
21 same kinds of criteria are applied by clinicians in the  
22 community?" This was actually answered in a study that was  
23 published within the last year in which a large series of  
24 brains collected by practitioners in the Massachusetts area  
25 were sent to a group of investigators in Boston for patholog-

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1 ical confirmation.

2 It turned out that just the general practitioner  
3 practicing anyplace in the state of Massachusetts was also  
4 about 85 percent correct in making the diagnosis of Alz-  
5 heimer's disease. So I think we are not going to be dealing  
6 with a huge degree of contamination, and we can, indeed, turn  
7 up with patient populations that are suitable for study.

8 [Slide.]

9 Peter Whitehouse talked about the issue of trial  
10 design. I only want to touch upon it for a moment. These  
11 are, clearly, the kinds of features that we are interested in  
12 in a good trial design; randomization, adequate blinding,  
13 sample size. And I would like to emphasize the issue of a  
14 few outcome variables.

15 I think there is a major problem with clinical  
16 trials that are put together and have a total of 10 or 15 or  
17 20 outcome measures because when I read that trial, I really  
18 don't know quite what to do with it. By chance alone, if  
19 you have 20 outcome measures at the .05 level, one will one  
20 positive outcome. I think this is a major issue.

21 The last issue is the issue of a meaningful  
22 relationship between the test variable and the clinical  
23 outcome. If we measure a one-point change on a verbal  
24 learning task, does that mean anything in terms of the  
25 relationship between that change and the way a patient lives

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1 his life, or the natural history of the disease.

2 I think we must link the kinds of changes that we  
3 are looking at on these scores to what is actually going on  
4 either in terms of the way the patient lives his life,  
5 meaning in Activities of Daily Living Scales, or we must link  
6 it to the progression of the disease in order for this to be  
7 a meaningful outcome from the clinical point of view.

8 I will give you some information about how we can  
9 actually accomplish that task.

10 [Slide.]

11 We have heard some mention before about the use of  
12 crossover studies from an individual in the audience, and I  
13 think that crossover studies can be useful. But crossover  
14 studies suffer from the problem of a series of assumptions;  
15 and the most important assumptions are, really, the following  
16 two: No. 1, that there is no carryover effect across treatment  
17 periods.

18 By carryover effect, I don't only mean the fact  
19 that the drug has cleared from the body. There can be other  
20 medical carryover effects, or there can be psychological  
21 carryover effects. All of these are assumed to be absent if  
22 one uses a crossover study.

23 The second major assumption underlying a crossover  
24 study is that the treatment response is the same in both  
25 periods. If one is dealing with a rapidly progressive

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1 disease, this is clearly not the case. That will never  
2 happen.

3 If one is dealing with a very slowly progressive  
4 case, that assumption may be met. And one can argue about  
5 whether the treatment effect will be the same or not in both  
6 periods in patients with Alzheimer's disease. The main  
7 advantage of a crossover study is that it saves money and you  
8 recruit fewer patients.

9 But there is a very nice paper published by Brown  
10 in 1980 in an obscure journal to me called Biometrics which  
11 deals with the efficacy and the cost saving of carrying out  
12 crossover trials. It turns out that if you have much more  
13 than about a 15 percent contamination rate, then economic  
14 considerations dictate that it is better to do a double-blind  
15 parallel trial up front and not to bother with a crossover  
16 trial.

17 So, yes, a crossover trial can be effective. It  
18 can save money, but it will not necessarily do so unless the  
19 assumptions underlying it are met. The major assumption  
20 underlying a parallel study is that you have equal randomiza-  
21 tion and that patients enter the two groups in an equal  
22 fashion and that there are no underlying differences.

23 That, indeed, is the only underlying assumption in  
24 demonstrating the efficacy of a parallel design study, and  
25 the reason that most of us have chosen that type of a design.

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1 It simply makes fewer assumptions.

2 [Slide.]

3 Dr. Leber made mention of some other weird type of  
4 designs that have been used in the recent past. I would like  
5 to show you another type of design and throw it open for  
6 discussion and bring up some of the problems with this type  
7 of a design. This is the design that is being used in the  
8 current THA trial of which Ken Davis is the principal  
9 investigator and is here in the audience and on the panel.

10 In this particular design, we introduced a dose  
11 titration phase initially consisting of four doses, now two,  
12 in an attempt to find a specific dose to which patients would  
13 respond. Now, I happen to think, and other people involved  
14 in this design, happen to think that this is a necessary step  
15 in a cholinomimetic agent because there are numerous, both  
16 animal and human, studies indicating that cholinomimetic  
17 agents have a fairly narrow therapeutic window.

18 One probably needs to seek this out. The question  
19 is whether one needs to seek this out for a group as a whole;  
20 and one could define a single one or two doses to which all  
21 patients would respond, or whether one needs to seek out an  
22 individual dose for every single patient.

23 I think that is an unanswered question. We will  
24 have more information about that when this trial has been  
25 completed. Obviously, that type of design is not applicable

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1 to other drugs. There are many other compounds that will  
2 produce an effect that does not show that type of phar-  
3 macological response, and where a dose titration is not  
4 necessary where the more you give probably the better a  
5 response you will see until the response plateaus off and  
6 where there is no associated toxicity with that drug, or  
7 where the therapeutic window is so broad that it is not  
8 necessary to carry out any type of a dose titration phase,  
9 where this can be carried out on a sample before the trial is  
10 undertaken.

11 In this particular design, we then have chosen to  
12 discard patients that did not respond during the dose  
13 titration phase in an enrichment design. Is this a good  
14 idea or a bad idea? Well, we thought it was a good idea  
15 because it gives us an enriched population in which to carry  
16 out a double-blind parallel trial.

17 Ultimately, it may turn out that there are problems  
18 with this and, perhaps, titration is not sensitive enough,  
19 and we are discarding potential responders. In addition,  
20 there are other problems with this design such as the  
21 imposition of the placebo in the last period.

22 We, too, are making the assumptions in the dose-  
23 titration phase that there is no carryover and that a person  
24 who responds, for example, to 80 mg of this particular drug  
25 has washed out completely when tested in placebo during the

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1 last period. This may, indeed, be an unwarranted assumption.  
2 So I don't want people to look at this and say, "Well, this  
3 is the way all drugs should be tested."

4 This was a specific design for a specific clinical  
5 drug in a specific clinical trial. And I hope it will  
6 answer our questions, but there are certainly criticisms of  
7 this design and there may turn out to be a series of problems  
8 that this design has not met.

9 [Slide.]

10 I mentioned a small number of clinical outcome  
11 measures. In many of the trials that I am currently  
12 involved in, I have tried to minimize the number of measures.  
13 I do think that in any Alzheimer's trial, however, you need  
14 to have at least two measures. One is you need to say  
15 something about cognition in that patient on your favorite  
16 local scale, and that scale must have validity with respect  
17 to something about the disease process.

18 Secondly, you need to say something about what is  
19 happening to that patient in an overall global sense or in  
20 activities of daily living, and that the drug must show  
21 improvement in both areas. If you can't show cognitive  
22 improvement, and you can't show improvement in overall  
23 functioning, you don't have a drug to treat dementia.

24 On that point, I will be fairly emphatic.

25 [Slide.]

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1 I now would like to turn away from the issue of  
2 treatment of symptoms to talk about types of treatments that  
3 we are going to contemplate for the future; that is, all of  
4 the drugs that we are currently testing are really drugs  
5 designed to induce acute improvement in patients. But they  
6 are not designed to change the natural history of the  
7 disease.

8 They are not even designed to change the rate of  
9 decline. Before we can design drugs to look at the change  
10 in the rate of decline, we have to define the natural  
11 history. And I would like to spend the last few minutes on  
12 that issue.

13 [Slide.]

14 This is a series of data that Bob Katzman and I put  
15 together looking at rate of change in a simple test called  
16 the Blessed Information Memory Concentration Test that most  
17 of you are familiar with. You can ignore most of the  
18 numbers and I will bring you through it.

19 What we did was to simply administer the Blessed  
20 test to a large number of individuals at four different sites  
21 and in four different stages of dementia; a nursing home  
22 population who were fairly demented, a private-practice group  
23 who were only mildly demented, a group of individuals in the  
24 Bronx Aging study which is a prospective study of individuals  
25 who enter the study non-demented and are followed prospec-



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1 tively for the development of dementia, and the Alzheimer  
2 Disease Research Center in San Diego.

3 What we found on this very simple instrument is  
4 that, roughly, patients declined by approximately four points  
5 per year on the Blessed Information Memory Concentration  
6 Test, although when we further divided this into cortiles on  
7 the test, there seemed to be some ceiling effect or some  
8 slowing for the most demented patients, probably a ceiling  
9 effect.

10 But the bottom line is that patients deteriorated  
11 by roughly four points per year on this test with a standard  
12 deviation of four points. So we have some measure of  
13 change.

14 [Slide.]

15 This type of analysis has also been carried out by  
16 Ken Davis and Richard Mohs on the Alzheimer Disease Assessment  
17 Scale, and we have similar measures on this scale so that we  
18 know something about the rate of decline for this particular  
19 instrument.

20 [Slide.]

21 What I also wanted to show you, however, is the  
22 wide variability. This is a subset of just one of those  
23 four groups of patients in which I have simply plotted the  
24 initial -- actually, I want to make a couple of more points  
25 about the previous slide.

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1 When we carried out a further analysis of these  
2 groups to state, "Well, what were the predictive factors  
3 predicting rate of change?" it turned out that the rate of  
4 change was independent of sex, age, age of onset, site,  
5 ethnicity, socioeconomic status, amount of underarm deodorant  
6 you used and virtually anything else that we were able to  
7 look at, and that it seemed to be a relatively biological  
8 constant.

9 Much against my own clinical judgment, it turned  
10 out that, for example, young patients whom I had always felt  
11 deteriorated more rapidly than old patients did not deterior-  
12 ate more rapidly, that their rate of decline was identical.  
13 It was also unaffected by positive family history for  
14 Alzheimer's disease.

15 So the rate of decline on this particular instrument  
16 seemed to be essentially a biological event, unaffected by  
17 all of the other factors that we looked at.

18 What I do want to point out is that even though it  
19 is relatively constant for a group, there is a tremendous  
20 amount of variability within these patients.

21 This is a subset, one of the groups of patients, in  
22 which we simply plotted the initial Blessed score versus the  
23 rate of change in points per year. The only point I want to  
24 make is that there is a lot of variation. Here is an  
25 individual who starts off making about eight errors on the

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1 Blessed when he is first seen and progresses by one point per  
2 year.

3 Here is another patient who starts off with eight  
4 points per year, but progresses by twelve points per year.  
5 So this patient has creeping dementia. This one has  
6 galloping dementia. But I, in advance, cannot tell you who  
7 will be in which group.

8 Here is a patient who presents with about twenty  
9 points and progresses almost not at-all. Had I treated this  
10 particular patient with my mother's chicken soup, I would be  
11 able to conclude that the rate of progression in this  
12 particular patient was extremely slow thanks to her excellent  
13 cooking.

14 That might be an incorrect conclusion.

15 [Slide.]

16 We have also, more recently, looked at a group of,  
17 in this particular instance, 92 probable Alzheimer's disease  
18 patients. And we have looked at three particular instruments;  
19 the Blessed Information Memory Concentration Test; the  
20 Dementia Rating Scale of Mattis, and the Mini-Mental State  
21 Examination.

22 In the past, we have demonstrated good correlations  
23 between the Mini-Mental State and the Blessed. Again, these  
24 correlations were redemonstrated in this cohort of 92  
25 patients. The real question we wanted to ask, however, was,

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1 "How do these tests change and, if we know the rate of  
2 decline between Points 1 and 2, can we, during the one year  
3 of disease, predict the rate of decline in the following  
4 year?"

5 [Slide.]

6 We computed the rate of decline between the first  
7 year and the second year, and then said, "Does the rate of  
8 decline between Year 1 and Year 2 predict the rate of decline  
9 between Year 2 and Year 3?" And these are the r values.  
10 They are exceedingly disappointing, again indicating both the  
11 variability of the disease and the variation that we see in  
12 our own test instruments.

13 So we are going to be dealing with test instruments  
14 that have fairly large standard deviations compared to the  
15 natural course of the disease process. I think this point  
16 should be kept in mind.

17 [Slide.]

18 How big a group of patients do you need to see a  
19 drug effect? Well, it is really pretty easy to calculate  
20 and you can eyeball it. It really depends only on the ratio  
21 of the standard difference to your standard deviation so that  
22 if you have a drug that has a pretty good effect, that can  
23 alter a change on a scale by one standard deviation, your  
24 standard difference would be 1.

25 If we are going to look for a change on the

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1 Blessed, and you have a drug that can cause a four-point  
2 change on the Blessed and your standard deviation is 4, your  
3 standard difference is 1 and, by God, you can get by with  
4 about 30 patients per group, not a very big trial.

5 But if you are going to try and reach for very  
6 small differences like a quarter of a standard difference,  
7 you are going to end up somewhere out here and you are going  
8 to need several hundred patients per difference.

9 [Slide.]

10 Now, I would like to extrapolate that to a set of  
11 assumptions about a drug trial that someone in the audience  
12 might think about designing for the future, that we want to  
13 change the rate of decline in patients with Alzheimer's  
14 disease.

15 I will just give you two sets of numbers. These  
16 are simplistic numbers that I derived myself, and we now have  
17 a drug that we are going to try in a group of patients, in a  
18 double-blind parallel study. We will administer the drug to  
19 patients and placebo to the placebo group. And we are going  
20 to make some assumptions.

21 In this case, we are going to look at, say, the  
22 Blessed score. It doesn't really matter what test instrument  
23 we use. We are going to say that we know that on the average  
24 these patients declined by four points per year, that the  
25 standard deviation of that test is about 4. The alpha failed

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1 to appear. An alpha of .05, two-sided test, with an 80  
2 percent power and one year of follow up; how many patients do  
3 we need in each group in order to show an effect?

4 Well, we have a great drug, we think, and it is  
5 going to show the rate of decline from four points per year  
6 to one point per year. By God, we only need 28 patients per  
7 group. We would like to look at a less potent drug, one  
8 that will decrease the rate of decline from 4 to 3, only a 25  
9 percent decrease, and we are now up to 251 patients per group  
10 or a total of 502.

11 So now we have to sit back and say, "Well, what is  
12 the smallest change in the rate of decline that we think is  
13 of any value?" We are clinicians, not statisticians. How  
14 small an effect would you like to see, how small an effect in  
15 the rate of decline would be a clinically-significant change?

16 I won't answer the question. We will come back to  
17 it at the panel.

18 I think that is the end of the remarks that I would  
19 like to make and I think we will just reconvene the panel and  
20 try and answer some of the questions that I posed.

21 DR. LEBER: I would like to thank you for a very  
22 data-rich presentation. You nicely parsed out some of the  
23 things we are going to have to think about carefully before  
24 we plan anything. Let's get started on that.

I am going to come down a little bit to the end of

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1 the table because I noticed sitting in the audience before  
2 that one of the risks of being on the end was we thought we  
3 would be able to look across and see people down the table.  
4 But there is the risk of missing those in the middle.

5 So if you actually looked at the number of points  
6 of discussion made, the people at the very apex of this curve  
7 were seriously underrepresentative until the very last  
8 minute. So I am going to try to watch for them.

9 Without further ado, I will invoke the Katz rule  
10 that the cochairs will only intervene if things seem to be  
11 lagging beyond our tolerance. That changes the rules  
12 slightly because you have adjustments for individuals.

13 Where are we? What is a valid trial today?  
14 Notice the enthusiasm. Is there a gold-standard study?  
15 Let's assume we want to approve a drug and get it out there  
16 for the treatment of dementia, unmodified, unqualified, and  
17 we want to market it tomorrow. We think we have for some  
18 good basic science reasons a candidate drug, and let's  
19 assume, for the moment, that we are past the stage we were  
20 worried about before.

21 We now have preliminary clinical evidence that it  
22 probably will be effective. Would someone like to talk  
23 maybe about how they would design the study? Was the  
24 tacrine study good enough? Should it be de rigueur? Elkan?

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1 answer is, in series, some of the questions that you had.  
2 We could talk about specific trials. But I would have to  
3 agree with Leon that the trials should dependent on the  
4 nature of the compound, and the knowledge basis that you have  
5 at any given point.

6 I think that to try to even come up with the ideal  
7 trial is probably an error. But one of the things that you  
8 mentioned, and it was one of the comments that I get asked,  
9 certainly, and I'm sure many of the members of the panel get  
10 asked, and that is why don't we just treat patients who are  
11 at the very early stage of the disease because they are the  
12 ones who are most likely to respond.

13 They have the most intact cells left, the least  
14 damage. They are more likely to function with some improved  
15 pharmacology. I think that is something that we should talk  
16 about. I, personally, used to think that that was not an  
17 unreasonable thing.

18 I would now concur with what Leon suggested, and  
19 that is that you should use as broad a population as possible  
20 and that from the sponsor's prospective, the labeling is  
21 going to be, presumably, Alzheimer's and I think that we  
22 would like it to be Alzheimer's and not just a narrow  
23 indication.

24 I think that we tend to take upon ourselves burdens  
25 that are unreal because we don't have a standard compound and



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1 that if we looked in the areas of depression, epilepsy, and  
2 other areas, we know that the compounds that are out there  
3 are effective only in a small percentage of the population.  
4 We do not go looking for those patients with epilepsy who  
5 respond to sodium channel blockers or have certain other  
6 aspects of it, nor do we usually do that sort of sorting for  
7 patients with depression.

8           Given the results that Leon showed just now, and  
9 that is the rate of decline until the patients are really not  
10 testable, that we should, in fact, try to be as broad as  
11 possible within the constraints of not allowing concurrent  
12 illnesses and concurrent medications.

13           So I think that that is a theoretical reason, and I  
14 would just argue from a pragmatic perspective that the  
15 outcome measures that we do have that we all agree are  
16 general global outcome measures are designed to look at the  
17 spectrum of decline from non-demented to totally-demented  
18 patients, and that there is only a narrow portion of those  
19 either at the top or the bottom of whichever scale you are  
20 using and that if you choose patients who are highly function-  
21 al, that the probability of showing a numeric improvement is  
22 low.

23           That is just a statistical, pragmatic perspective,  
24 but I think there are some theoretical things. And I think  
25 that is one of the questions that gets answered, and it is

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1 one of the things that is bandied around. It would be nice  
2 to hear what the experts think. Are we focusing incorrectly  
3 by taking in as many patients as possible as long as they are  
4 testable?

5 DR. LEBER: The one thing that I would like to try  
6 to do this time is let's try to stay on this particular theme  
7 for a while. The question, if I can recapture it in a  
8 different way, is there any kind of maneuver that will enrich  
9 the population, making the population sample more likely to  
10 respond in an experiment.

11 That is really what the question is about because,  
12 in a way, the cholinergic challenge was an enrichment design.  
13 You want to enhance the likelihood of getting a treatment  
14 effect. Now, if you know you have such a stratification  
15 variable, you can do it.

16 So the question before the Committee is do you know  
17 of anything that would allow you to reliably suggest that you  
18 can increase the efficiency of sampling for antidementia drug  
19 trials.

20 DR. FERRIS: In my view, the answer is that there  
21 is very little data on that issue in an objective sense.  
22 Even if we look at the THA trial, it is being assumed that  
23 there is an enrichment, but we don't really know. If fact,  
24 what occurred to me in looking at Leon's slide outlining the  
25 design is that, with the wisdom of hindsight, there could

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1 have been a very nice test of that by not dropping the people  
2 who didn't show best dose, and randomizing them to different  
3 best doses and seeing if there was any difference in outcome  
4 between those you would have dropped and those that you  
5 didn't, which would have been not that pertinent to the  
6 question of efficacy but certainly pertinent to the question  
7 of whether you really succeeded in your goal of enrichment.

8 I think that a lot of the difficulty in whether  
9 there is an appropriate enrichment strategy has a lot to do  
10 with how much of the more basic kinds of studies, both  
11 preclinically and clinically, are done prior to getting into  
12 a real large-scale trial.

13 I think one of the purposes of, say, early clinical  
14 trials, certainly done in controlled fashion, is to try and  
15 zero in a better way rather than having to analyze a huge  
16 amount of data later on whether there are particular subtypes,  
17 at least in this context in terms of severity of disease, the  
18 milder patients, the more severe patients, or the whole issue  
19 of the appropriateness of individual dose.

20 I think that kind of thing can be ironed out,  
21 perhaps expensively, but in a series of Phase II type trials.

22 That also relates to the issue of numbers of  
23 outcome measures. I don't think anyone would disagree with  
24 Leon's suggestion that in these large, multi-center trials,  
25 when you are hoping that that is the data base that is going

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1 to lead to an NDA application, that you want to plan in  
2 advance to minimize the number of outcome measures.

3 On the other hand, if you don't really know in  
4 advance what kinds of outcome measures are sensitive to the  
5 effects of a particular compound, it means that, at least in  
6 early trials, you need to cast a much wider net with respect  
7 to outcome measures and hope that by using a variety of,  
8 perhaps, measures that are more sensitive to small effects,  
9 some of these more global measures might not be as sensitive  
10 to small effect, that could help you design that later trial.

11 DR. LEBER: So your vote is really for not only a  
12 broad net on the population but a broad net on the observa-  
13 tional outcome measure.

14 DR. FERRIS: At least in the early stages of the  
15 development. I wouldn't suggest that in the final, big,  
16 multicenter trial. I hope you would have information  
17 gathered before you design that final trial.

18 DR. LEBER: Before we go down that alley, one thing  
19 Leon said, which I think is very provocative. He said you  
20 can't have an antidementia drug unless it produces an effect  
21 on some cognitive vector; I will put it that way.

22 Does the Committee agree with that, because that  
23 has a lot to do with your screening policy? If you say it  
24 only has to be cognition or memory, you don't need as wide a  
25 net. Does everyone agree that that is the sine qua non of

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1 an antidementia drug, or is that arbitrary, capricious and  
2 absolutely unsupportable?

3 DR. MOHS: I agree, by and large, because the fact  
4 is that those are the defining features of what dementia is,  
5 in the absence of impairments in those areas, you don't have  
6 a dementing condition. The one possible exception, I think  
7 -- and this came up in the earlier panel -- is it is conceiv-  
8 able that there are agents that are available, maybe even  
9 currently, that would be of some use in the medical management  
10 of cases of Alzheimer's disease that don't treat the primary  
11 symptoms of the disease but, nevertheless, are useful adjunct  
12 to treatment.

13 However, to have a real treatment that is specific  
14 for dementia, what defines dementia and makes it different  
15 from other neuropsychiatric illnesses is the cognitive  
16 impairment. So if you had a drug that was specific for  
17 dementia, it would seem that it would almost have to treat  
18 and produce some improvement in memory and cognition.

19 DR. LEBER: Let me play devil's advocate with you  
20 and ask you a question. Let's assume for the moment that  
21 there is a real -- and this is prejudging something that will  
22 come up later -- effective syndrome unique to dementia and it  
23 doesn't respond to classic antidepressants.

24 But let's say it responds because it is free  
25 frontal-lobe pathology to some increase in dopaminergic tone,

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1 L-dopa. You might be able to document that the reason that  
2 you get an antidepressant response is conditioned by the  
3 presence of dementia. Isn't that a legitimate antidementia  
4 drug effect?

5 DR. WHITEHOUSE: I think so. I think it is quite  
6 possible that the biological basis of some of the very  
7 disturbing and important clinical behavioral manifestations,  
8 non-cognitive manifestations, are dementia-specific in some  
9 sense and it would be unfortunate to assume that we are  
10 really merely treating other things that are the same in  
11 cognitively-intact people, anxiety disorders and depression,  
12 in the same way.

13 So I agree with you. I think it is dangerous to  
14 make that assumption.

15 DR. LEBER: I didn't say anything, you know. I  
16 was just raising a question.

17 DR. CROOK: I would say that would not be suffi-  
18 cient. Given all the problems with pseudospecificity, I  
19 think it may be a drug that is effective for treating  
20 depression in dementia, or some other secondary symptom, but  
21 it would seem to me that Leon is right that if it is truly to  
22 be an antidementia drug, it has to have some effect on memory.

23 My problem, I guess, with Leon's slide had to do  
24 with the clinical, global improvement. If, in fact, you  
25 must have a change in clinical, global improvement, then you

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1 could argue that it is not worth testing at all.

2 I think I have problems with that in several  
3 respects; one, going back to Peter's point which I don't  
4 think was fully resolved, about the active placebo. I  
5 wonder whether some of the trials that are underway now and  
6 some that, no doubt, will be undertaken are, in fact, blind  
7 and whether, where the measure is an assessment by the  
8 clinician, by the family, whether that can be affected by  
9 perceived drug side effects, or whether it is truly a blind  
10 rating.

11 Secondly, those are, generally, very insensitive  
12 ratings. Are you going to require that, or if a drug has an  
13 effect on an objective measure of cognition, of memory or  
14 some other important variable, is that enough in the absence  
15 of a clinical, global improvement measure given that you can  
16 measure, particularly in the earlier patients, cognitive  
17 variables in a much finer way, in much smaller gradations,  
18 that you can on a five-point or seven-point rating scale from  
19 perfect to absolutely impaired.

20 DR. THAL: I would like to respond to that. When  
21 I used clinical, global impression, I am not referring only  
22 to the clinical, global impression of change. I should  
23 really expand that to say that it has to be a clinically-  
24 observable effect by someone and that if you increase the  
25 point score of a dementia patient on any cognitive test that

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1 you show, but that neither a clinician nor a family member  
2 nor another member of society can discern that effect on that  
3 individual, then it is not worth releasing that drug.

4 I think the marketplace will prove that to be  
5 correct, that no one will use such an agent.

6 DR. RASKIN: I have no problem with that, but I  
7 would like to get back to the issue of power that you were  
8 showing on the screen. The critical element there, I think,  
9 is the sensitivity of the instrument -- at least that is one  
10 of the critical elements.

11 In that regard, I do feel, also, that cognition and  
12 memory are sort of the hallmark of dementia as thought  
13 disturbance is the hallmark of schizophrenia in some way.  
14 It has been demonstrated, particularly in the early stages of  
15 dementia, and particularly if you advertise in The New York  
16 Times for subjects, that you really have to push the limits  
17 of your scale to show deficit and to show change.

18 In that regard, I think if I am going to be  
19 measuring cognition and memory, I would try to get something  
20 like what Tom is doing, more than a rating-scale kind of  
21 measures; in other words, something that has inherent sort of  
22 face validity, one of the issues you are raising, some of  
23 those kinds of things. "Do you remember when you go out to  
24 shop what you forgot and where you left your keys?" that kind  
25 of thing.



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1 I am wondering if you use that kind of instrument  
2 whether you would need the kind of power that you had  
3 outlined in terms of the sensitivity issue.

4 DR. THAL: I don't know the answer. I think it  
5 remains to be demonstrated to develop such instruments. I  
6 will just give you sort of a synopsis. We sort of broadly  
7 looked at four instruments, now; namely the Blessed Informa-  
8 tion Memory Concentrations Test, the Mini-Mental State, the  
9 ADAS and the Mattis Dementia Rating Scale.

10 What I can tell you is that roughly the change that  
11 you see in a patient over a course of one year, on the  
12 average, equals the standard deviation of that test. That  
13 is about where we are at.

14 Now, you can devise better tests that have smaller  
15 standard deviations, I would assume, and it will make it  
16 easier to show an effect. But that is what we are dealing  
17 with, that is the data that we have in hand.

18 DR. LEBER: Are you measuring the standard deviation  
19 of the test or the standard deviation of the population to  
20 whom the test is applied?

21 DR. THAL: The standard deviation of the population  
22 to whom the test is applied.

23 DR. LEBER: So until we know how to select the  
24 population and make it less heterogenous, you may not be  
25 able to manipulate that independently.

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1 I don't want to let people slide off the hook,  
2 because I think we have an important question which, to me,  
3 still seems, even though I am not making an official declara-  
4 tion, somewhat arbitrary.

5 You said that even if we could document that we  
6 don't have a pseudospecific effect -- that is one that  
7 happens to be a drug working in a demented patient -- that we  
8 couldn't say that is a drug for dementia if, in fact, the  
9 effect of the drug depended upon the patient being demented.

10 Remember a diagnosis of an illness may depend on  
11 its cardinal signs. But a phenomena of the illness, unrelated  
12 to the cardinal sign, could still be important; outbursts,  
13 wandering at night, hostile and aggressive behavior. If you  
14 had something that altered that, but only in the presence of  
15 dementia, not in everyone else, wouldn't that be a legitimate  
16 claim?

17 DR. GAMZU: But you are suggesting that the same  
18 drug is tested in all other patients with a wide variety of  
19 disease states and found to be not effective.

20 DR. LEBER: That is a logical maneuver to exclude  
21 pseudospecificity. I am just asking the general question.

22 DR. GAMZU: But isn't that one of your basic  
23 assumptions?

24 DR. LEBER: I want to know where this panel, as  
25 august as it may be, would have the right to exclude a

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1 particular type of claim of that sort. It is a matter of  
2 opinion, isn't it?

3 DR. DRACHMAN: We are into a serious semantic  
4 argument here, I think.

5 DR. LEBER: Not at all. It is a substantive  
6 argument.

7 DR. DRACHMAN: Substantive, but semantic at its  
8 root because, in fact, Alzheimer in his first paper described  
9 a patient who had severe behavioral disorders and because we  
10 are talking about the treatment of Alzheimer's disease rather  
11 than just dementia, I do regard behavioral problems, which I  
12 like to encapsulate as obstreperous behaviors, as one of the  
13 most serious problems of Alzheimer's disease.

14 Barry alluded to that before. It is, certainly,  
15 the most common reason why patients go to institutionalized  
16 settings such as nursing homes. The whole issue that you  
17 raise about pseudospecificity gives me a certain amount of  
18 problem here. Could one, indeed, alter the behavioral  
19 disorders in a fairly benign fashion, there are many patients  
20 with Alzheimer's disease who would stay in their homes for an  
21 extra six months, a year or several years, as a matter of  
22 fact.

23 So even though, in its purest sense, the conceptual  
24 notion of dementia really refers to cognitive and memory  
25 decline, in its practical sense, behavioral changes occur in

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1 our studies in about 85 percent of all patients.

2 DR. WURTMAN: I agree. I think, Paul, the way you  
3 phrased the question initially, as I understood it, was  
4 whether or not a treatment directed toward another symptom or  
5 another transmitter would, by the way, also enhance cognition.

6  
7 That is not necessarily the case. I think David is  
8 quite right. One could treat the obstreperous behavior  
9 productively, whether or not by doing so cognition also  
10 improves.

11 DR. LEBER: Let me explain -- this is really a  
12 point of reference, because not everyone here may understand  
13 what we mean by pseudospecificity. Assume for the moment  
14 that I could stop obstreperous behavior by using general  
15 anesthesia. It works in everyone, regardless of whether or  
16 not they have dementia.

17 You could not make a claim that that is a treatment  
18 of dementia. It is a general effect of the drug. If, in  
19 fact, depression, anxiety and all the other ennui of modern  
20 life and distresses were present in early dementia, treating  
21 them effectively with drugs that work for those conditions  
22 would not fairly be entitled to a claim.

23 This is a question of equity. That doesn't mean  
24 you couldn't use them. It is a question of whether you want  
25 to make the claim.

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1           What I wanted to get away from is the idea, and I  
2 think most people would agree, that the real, unqualified  
3 antidementia drug probably follows, for most of you, what Dr.  
4 Thal has suggested; that is, an effect on the cardinal signs,  
5 if you will, of the illness, affecting memory-cognition.  
6 That doesn't mean there couldn't be other claims linked to  
7 dementia if you could document them.

8           That is really what I want to get your consensus  
9 about.

10           DR. FERRIS: There are a couple of sides to this.  
11 I think I would also like, maybe, to turn the pseudospecific-  
12 ity issue upside-down in the second part of my comments. I  
13 would suggest that, as long as there is a consensus on  
14 groupings of important symptoms in the clinical syndrome that  
15 we call Alzheimer's disease, any compound that can be shown  
16 to be efficacious on that cluster of symptoms, if properly  
17 defined, could be considered to be an antidementia drug.

18           But, again, apples and oranges and pears have to be  
19 kept separate both in terms of the need to independently  
20 assess in an unconfounded way Cluster of Symptoms A, such as  
21 behavioral problems versus Cluster of Symptoms B, memory,  
22 praxis, language, et cetera.

23           In other words, we have to be very careful in the  
24 design of the study how we assess whether there is an effect  
25 on one set of symptoms versus another.

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1 Related to that comes the issue of what is the  
2 claim; in other words, there could certainly be a claim for  
3 an antidementia drug where the claim is specific to one group  
4 of symptoms such as aberrant behaviors.

5 DR. LEBER: Let me explain the regulatory problem  
6 and the reason we are into this. Let's assume that Dr.  
7 Raskin's study had not turned out as it did. Let's assume he  
8 was lucky in his sampling and had come up -- and that is a  
9 possible explanation for the finding of no difference that he  
10 observed -- not that the drug didn't work in that patient  
11 population but rather he didn't have the power to detect the  
12 effect that does work sometimes, and he had gotten a positive  
13 result with a classic drug, Imipramine or Amitriptyline.

14 Would that allow the makers of those drugs to make  
15 a claim they have a drug for depression in dementia?  
16 Probably, we would say that they are already known to be  
17 antidepressants, and no.

18 But let's assume it was an undeveloped, not  
19 particularly profitable, antidepressant that is languishing  
20 on somebody's shelf. And they say, "This is a nice way to  
21 get the drug onto the market. We have been unable to  
22 develop it commercially. There is no real window opportunity  
23 here for this. Let's bring it along for this selected  
24 pseudospecific use."

I think that was sort of the thing that got us into

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1 it, the inequity of, by doing an incomplete workup of a drug,  
2 gaining a claim linked, through choice of the investigation,  
3 to antidementia.

4 DR. FERRIS: The other side of this question,  
5 though, could be applied to the cognitive symptoms. Let's  
6 suppose there were a drug that was effective in a very  
7 general way on enhancing the primary biologic substrate that  
8 underlies memory functioning and that would imply that this  
9 could well be a drug that would enhance memory in any subject  
10 population for which there was some relative intactness of  
11 that biological substrate.

12 So it would work in us, and it would work in  
13 medical students, it would work in normal old people and it  
14 would work, perhaps, at least in the milder end of the  
15 dementia spectrum.

16 DR. LEBER: It would be a great drug.

17 DR. FERRIS: It would be a great drug and, of  
18 course, it has no toxicity whatsoever.

19 DR. LEBER: What would you call it?

20 DR. FERRIS: The point is, if you developed that  
21 drug specifically for Alzheimer's disease, one could argue  
22 that that was an example of pseudospecificity. But everyone  
23 will accept that kind of pseudospecificity.

24 DR. LEBER: It is, but if you wanted to be truthful  
25 about that drug, you would say that drug is a cognitive or

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1 memory enhancer, and it is not predicted on the basis on the  
2 basis of one being demented

3 And that is a fairer and more accurate explanation.

4 DR. FERRIS: I would apply the same reasoning to an  
5 antidepressant that had a broad spectrum of application.

6 DR. DRACHMAN: Is aspirin pseudospecific for  
7 headache? Is that what you are saying?

8 DR. LEBER: My own judgment on controlled trials on  
9 tension headache, possibly. But I haven't reviewed them in  
10 great depth, personally, and it's a different kind of  
11 pseudospecificity.

12 DR. DRACHMAN: Or could aspirin be labeled as being  
13 useful for headache?

14 DR. LEBER: Obviously, there is the possibility  
15 that drugs are promiscuous in the sense that they have many  
16 effects. If you could prove that a drug had a specific  
17 effect -- look, this is not something that anybody ordained  
18 in the Federal Food, Drug and Cosmetic Act. It is an  
19 attempt on what we call local policy to take a fair and  
20 reasonable stand on issues that are vexing in drug develop-  
21 ment.

22 I am interested in what the panel thinks. I hear a  
23 vote for if somebody takes a new product not already marketed  
24 and works with it in the demented population and fails to  
25 show an effect on the cognitive and memory aspects of



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1 dementia, but does show an effect on some important sign in  
2 dementia, behavior, that we would be willing as a group --  
3 and that is real question -- to accept it as a legitimate  
4 antidementia agent.

5 Is that true?

6 DR. RASKIN: I sat in on the review of Hydergine,  
7 you may recall. The indications for Hydergine came right  
8 off the SCAG, the SCAG items. I think there were five SCAG  
9 items that showed significant drug-placebo differences.  
10 These are exactly the behaviors described on the indication,  
11 on the package insert.

12 DR. LEBER: It is not approved for dementia, though.

13 DR. RASKIN: That is the point I am making. I  
14 think we may be getting into a semantic quibble here. I  
15 don't like labels like antidementia drug, antidepressant  
16 drug, because they have broad spectra.

17 Antidepressant drugs treat anxiety and hostility.

18 DR. WURTMAN: Dementia is a symptom of Alzheimer's  
19 disease. What you are saying is the disease has other  
20 symptoms. The labeling might be better of this putative  
21 drug for the treatment of patients with Alzheimer's who had  
22 that symptom as opposed to labeling it as an antidementia  
23 drug. Is that a possibility?

24 DR. LEBER: There are a lot of possibilities. I  
25 would be interested in what the panel thinks. Rich Mohs had

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1 his hand up and he is in the central position which means he  
2 is underrepresented.

3 DR. MOHS: What I think is that if you have a  
4 troublesome symptom that people want to have treated, and  
5 somebody comes up with a drug that actually helps make that  
6 patient better, there ought to be some way to get that  
7 approved, assuming that it doesn't have awful side effects.

8 In the case of a drug, this hypothetical drug which  
9 I don't think would ever exist, but let's say that it did,  
10 that actually helped in controlling agitation, obstreperous-  
11 ness, but did not improve cognitive function in patients who  
12 had Alzheimer's disease, I think that there ought to be a way  
13 to get the drug into the people who need it, but I wouldn't  
14 want to call it an antidementia drug because dementia -- just  
15 to get back to the semantics of it -- dementia, by definition,  
16 is a loss of cognitive function.

17 That is not what you are treating with that drug.  
18 You are doing something useful, which I think ought to be  
19 allowed and be approved, if it were possible, but I wouldn't  
20 want to call it an antidementia drug.

21 DR. THAL: Would you call it an anti-Alzheimer drug?

22 DR. MOHS: No. It doesn't stop Alzheimer's.

23 DR. THAL: Would you call it an anti-obstreperous  
24 drug?

DR. MOHS: I would call it Streperase. That's

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1 what I would call it.

2 DR. GAMZU: To be given to disputative panels in  
3 front of the FDA.

4 I think I agree with what has been said before that  
5 there is a major problem. Nobody denies the fact that  
6 behavioral disorders are very important in this group of  
7 people. By the same token, incontinence is, as well. If  
8 you had a drug for incontinence, it would do very well.

9 I think if the drug is shown to be effective in the  
10 population, it should be approved if it has clinical sig-  
11 nificance. What you call it is a matter of what is going to  
12 go in the labeling, and that is going to be a matter -- it is  
13 a totally independent thing. It is the semantics we are  
14 talking about.

15 I don't think that is the question. I think the  
16 question is yes, it should be approvable.

17 One of the things, however, when we started this  
18 round of discussion was you suggested a drug for treating,  
19 say, depression or agitation in Alzheimer patients. I think  
20 that from a sponsor's perspective, and I will speak personal-  
21 ly, if I were asked the question, "Should we devote a lot of  
22 time and effort to looking for such a drug?" then I think the  
23 answer would be no because there would be this danger of  
24 specificity.

On the other hand, if we have reason to believe, for

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1 whatever premises they are, that the drug that we are testing  
2 in Alzheimer's ought to be tested in Alzheimer's, and that is  
3 one of the outcomes, and it is specified a priori, obviously,  
4 before a Phase III trial, then I think even by the rules that  
5 you have talked about, that that has to be an approvable drug.

6 DR. LEBER: I was really asking a much broader  
7 question and that is, what does this panel -- this is an  
8 external validity issue -- what is it that you are going to  
9 allow us to make an assertion that a drug is an antimentia  
10 drug. I was just drawing out something which seemed to me  
11 to be inherently controlling; that is to say, you can't have  
12 it unless you do exactly what I think it should do.

13 I want to know if everyone agrees. Maybe that is  
14 the state of the art. Do you have to have effects on the  
15 cardinal symptoms, and I will call them cognition and memory,  
16 reason, or whatever you want, to call a drug an antimentia  
17 drug?

18 DR. DAVIS: I think Richard's position is precisely  
19 the correct one. It is a drug that has an appropriate  
20 indication but is not an antimentia drug. The larger  
21 issue that it then raises is what other similar conditions  
22 may it be effective in?

23 The sponsor, I think, should, in fact, determine  
24 that, what other conditions it may work in. But if, in  
25 fact, we have an agent that is effective for what is a non-

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1 cognitive, but nonetheless, critical problem in dementia, we  
2 should find a way to get that to people.

3 I think that, though in jest, Elkan talked about  
4 urinary incontinence --

5 DR. GAMZU: Not in jest.

6 DR. DAVIS: It is an important issue just as is  
7 contractures. If someone came out with a drug for contrac-  
8 tures in Alzheimer's, I would be surprised if it didn't work  
9 in other conditions, but I would be very pleased to have that  
10 in the therapeutic armamentarium.

11 DR. LEBER: Again, it is the side of the issue. It  
12 is not so much that we are not interested in approving drugs  
13 that have legitimate uses. I am really trying to core in,  
14 if you will, on the issue of what this panel would consider  
15 to be a legitimate antidementia claim. What is it going to  
16 be based on?

17 I seem to be hearing almost agreement that that  
18 name requires cognitive effects on valid outcome measures  
19 that look at the content of what we have yet to discuss; that  
20 is, what are the content of performances that we are going to  
21 consider.

22 Now, we will probably consider that in the specific  
23 session that we have on it, but I am interested in a global  
24 way. What kinds of changes do you want for this antidementia  
25 effect? This is unqualified.

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1 DR. DRACHMAN: Elkan said something that I am very,  
2 very concerned about. He said that should a drug be under  
3 consideration for treatment of obstreperous behaviors, that  
4 probably because it isn't specific for dementia, that  
5 probably it wouldn't be pursued. I hope I am saying that  
6 correctly. Maybe I missed that.

7 DR. GAMZU: No, no. I said if it were being  
8 considered for the treatment of obstreperous behavior in  
9 Alzheimer patients I don't think that that is a target that  
10 most companies would focus on. If you have a drug that is  
11 likely to be useful in obstreperous behaviors, you would be  
12 more interested in looking at it in a much broader situation  
13 that is probably going to be an antipsychotic.

14 We tend to focus on the more global issues of what  
15 are the unmet medical needs. This is, clearly, an unmet  
16 medical need. On the other hand, there are a number of  
17 drugs that are actually being used right now and have  
18 labeling that suggests, for behavioral manifestations of  
19 psychotic disturbances, I believe is the labeling, for quite  
20 a few of the antipsychotic agents.

21 So there are things out there. If the people who  
22 are coming to this particular audience -- I am not saying you  
23 shouldn't do it, but the people whose focus is in Alzheimer's  
24 disease is on the primary factors. You can look at it from  
25 a pragmatic perspective.

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1 Yesterday, some of us were at a presentation where  
2 the Wilkerson Group gave their estimate of what the unmet  
3 need was. Now, their estimate was couched in dollar  
4 figures, but it doesn't really matter. Basically, they said  
5 that 10 to 20 percent of the patients would benefit from a  
6 drug that would treat these behavioral disorders.

7 Obviously, for a company making a major decision,  
8 the 80 percent of all the other patients and their cognitive  
9 loss in that extra 20 percent is a far greater focus. That  
10 doesn't mean to say it is not a legitimate avenue.

11 But most of us, I believe, are not in the business  
12 for purely altruistic purposes.

13 DR. LEBER: Can I cut this discussion off for a  
14 reason. I think we are drifting into an issue of almost  
15 directional advice on investment possibilities in drug  
16 development. That is really not the thrust. The thrust of  
17 this is to talk about external validity.

18 So we have examined what the nature of the claim  
19 most people would prefer. I still think it is on this theme  
20 of an effect on the cognitive symptoms of dementia. What is  
21 it that will make a claim a reasonable one? The next  
22 question was the sampling of the patient population. Who  
23 should you work with?

24 We started to get into that. Do you want to use a  
25 narrow population because you have some believe that you are

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1 more likely to demonstrate an effect because of ceiling or  
2 floor effects which may or may not exist? Do you have some  
3 predictive device, or do you want to take unwashed Alzheimer's  
4 patients that are acceptably diagnosed?

5 Let me get to that stage of the discussion.

6 DR. DAVIS: I think Leon said this very well in his  
7 presentation. You would like to take everybody, but there  
8 are certain constraints that make it very difficult to take  
9 everybody. The instruments don't work in everybody. But  
10 if we go beyond the instruments, we have talked about  
11 biological heterogeneity. The issue in biological heteroge-  
12 neity is not so much whether there are different etiologies,  
13 though I think there probably are.

14 The issue is whether there is pharmacological  
15 heterogeneity. I think we already know there is phar-  
16 macological heterogeneity in this disease. We shouldn't  
17 discount all that has gone before the THA study. There are  
18 reasons for biological heterogeneity. At the very least, we  
19 know there is an inverted U-shaped curve for cholinomimetic  
20 agents, but the inverted U-shaped is well-demonstrated in  
21 animals.

22 It is almost a physiological law that you can't  
23 infinitely improve things. You can't do it in the heart.  
24 You can't do it in muscles. It is not surprising that there  
25 would be an inverted U-shaped curve.



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1           There is also the additional problem in elderly  
2 people that 80-year-olds don't have pharmacokinetics like 20-  
3 year-olds which also makes it very difficult to find a dose.  
4 So when you think about those issues and then add to it, I  
5 think, the fact that at autopsy, neurochemically, all these  
6 brains are not alike and in therapies, at least as we  
7 presently conceptualize them which, at least at this state of  
8 the art, is replacement oriented and neurotransmitter driven,  
9 and given that there is a heterogeneity of neurotransmitter  
10 abnormalities and that there is a growing literature to  
11 suggest that the plethora of neurotransmitted abnormalities  
12 affect the efficacy of the cholinergic agent, it is quite  
13 reasonable to say, beforehand, that not everyone will respond.

14           We need to develop a strategy that identifies those  
15 who may.

16           What could be addressed about the THA study is,  
17 perhaps, as it evolved, it was less than ideal to do that,  
18 which may very well be the case. But I don't think we can  
19 fall away from the argument that it is, I think, at this  
20 point, certainly without substantially more preclinical and  
21 then early Phase II data, premature to run a drug in everybody  
22 and in all comers.

23           DR. WHITEHOUSE: Let me just link to that very  
24 specifically by making a specific suggestion and claim.

25           There are predictors about the effect of cholinomymetics

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1 based on the brain changes, based on two statements. Older  
2 patients, in several studies, less cholinergic abnormalities  
3 and also tend to have less pathology in other systems.

4 So that would predict, or allow the prediction,  
5 that older people might respond better to THA than younger  
6 people. If you believe that that is a subtype that is  
7 identified on the basis of age, then look at that and maybe  
8 stratify that.

9 DR. DAVIS: Let me readdress that because I think  
10 this is a very, very critical question about how these  
11 studies are conducted and will be in the future. The older  
12 patients are generally patients with multisystem disease.  
13 They are patients who, for the most part, are not getting  
14 into the study.

15 So if you look at the average age of the study and  
16 compare that, perhaps, to where do we see the greatest  
17 prevalence of Alzheimer's disease, we are inevitably shifting  
18 toward younger people.

19 We and lots of other groups, I think, have data  
20 that suggest that when you have the disease, as you suggested,  
21 at an earlier age of onset, the abnormalities are more severe  
22 and the number of systems that are brought into play are more  
23 severe.

24 But I would not be at all surprised that when we  
25 come back and look at the THA data, and I am just guessing

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1 now, but I wouldn't be surprised that, because of the  
2 selection biases that happened or the necessity of getting a  
3 healthy elderly population, that it will be impossible to see  
4 what we all think should be seen based on the autopsy studies.

5 DR. LEBER: I want to raise important use of terms.  
6 One is prediction in the sense of statistical prediction,  
7 having a maneuver which reliably allows you to say what  
8 fraction -- it is sort of like a conditional probability, the  
9 probability of success given this result, like a lab test --  
10 as distinct from what I would call plausible intellectual  
11 prediction which says, "Given the theories now extant and the  
12 information we have, we believe it would be a good idea."

13 Now, I don't doubt, Ken, that you are absolutely  
14 right, there is loads of data to support and inverted-U-  
15 shaped dose-response curve in certain models. But that does  
16 not, as you have just suggested, mean that that will actually  
17 be documentable or, in fact, useful in designing clinical  
18 trials.

19 It so happens that the particular 971 study that is  
20 being done may, in fact, not answer all the question but it  
21 will be very interesting to see whether or not patients in  
22 particular sequences with particular results, whether those  
23 results predict the outcome in the double-blind phase.

24 I think that kind of thing may give you a lead.

But the whole point I want to distinguish -- theory

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1 does not necessarily always turn into what is a predictable  
2 outcome in clinical trials. That is one of the things that  
3 we are talking about, clinical trials.

4 DR. WURTMAN: To get back to some data that Leon  
5 showed that, I must say, I found very depressing. There is  
6 rather more heterogeneity among patients in the rate of  
7 progression of the disease than one would have thought. It  
8 changes from one point to 20 points, or one point to 12  
9 points in the space of one year? —

10 Also, the fact that patients who do very badly in  
11 one year don't necessarily do badly in succeeding years. I  
12 think that these facts, of necessity, make clinical trials  
13 far more difficult than one would have guessed because of the  
14 heterogeneity. I would encourage Leon to go home and do 500  
15 more patients so we can see if it is really true.

16 One of the things Leon said was that there was no  
17 relationship between the starting age of the patient and the  
18 average rate of progression. This would seem to go against  
19 what we have just been discussing. I believed, before  
20 walking into this room, that older patients had milder  
21 disease, in general.

22 So I think that is critically important that more  
23 data be obtained on this subject. I don't know how long it  
24 will take to do that.

DR. LEBER: Another question: is it data? Is it

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1 source of variance? Are those tests-retests on these  
2 patients stable? Could it be day effects, month effects?  
3 Concomitant disease effect?

4 DR. THAL: We actually have test-retest reliability  
5 on a lot of the patients. When there is test-retest  
6 administration within a short period of time, meaning repeated  
7 tests after one, two, three and six weeks, the test-retest  
8 reliability in a given patients is quite high and it runs  
9 about .85 for all of these tests. —

10 DR. LEBER: So that is not the cause. It really is  
11 a heterogeneous disease.

12 DR. FERRIS: In regard to your central question  
13 which is what patients or subgroups of patients would be  
14 optimal for selection -- and a lot of people have addressed  
15 various constraints -- but I think a very important one  
16 relates to the best group for the measures that we have  
17 available that are most sensitive for monitoring the symptoms  
18 we are interested in.

19 And this, in part, I think, is what has been behind  
20 the general assumption, which I still think is a good one,  
21 that we really ought to look at the relatively mildly  
22 impaired patients provided that they meet the specific  
23 criteria for Alzheimer's disease, in terms of their severity,  
24 which gets into another set of issues in terms of what about  
25 patients who are even a little too mild in trials.

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1           Nevertheless, provided we accept Leon's suggestion  
2 that we, at least, must take patients with probably Al-  
3 zheimer's disease, and that relates in part to how severe the  
4 symptoms have to be, I would suggest that the measures we now  
5 have available, whether it be even the global measures, but,  
6 in particular, the objective cognitive assessments, have the  
7 greatest utility and the greatest sensitivity for measuring  
8 the treatment effects.

9           So we really ought to stick with the milder group  
10 where those measures can be used. One could also argue in  
11 biological terms, in terms of the amount of pathology that  
12 presumably is present in the milder patients, more opportunity  
13 for a pharmacologic intervention to something, and so forth.

14           These are all the underlying assumptions.

15           Getting back to this milder group, since I would  
16 suggest that there are three primary kinds of outcome  
17 measures, and there are sessions here to address each of  
18 them, the objective cognitive test, Leon talked about two of  
19 them, the more global comprehensive measures such as the Mini-  
20 Mental State and the ADAS, et cetera, and also the Activity  
21 of Daily Living Scales, the third would be the Objective  
22 Cognitive Test.

23           One assumption that I would make, at least in  
24 ordinal terms, is that those three domains of measurement  
25 differ with respect to sensitivity or ability to detect

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1 fairly small changes. I think the least sensitive would be,  
2 probably, the Activity of Daily Living Scales. Somewhere in  
3 between would be the comprehensive or global measures. I  
4 believe the most sensitive to pick up small effects, perhaps  
5 in a very selected cognitive area, would be the psychometric  
6 or objective measures.

7 I would suggest that, at least during the course of  
8 early drug development, all three are essential because you  
9 wouldn't want to miss something by leaving out the more  
10 sensitive objective cognitive tests.

11 DR. LEBER: You are also arguing, though, that part  
12 of our sampling for patients is going to be driven by the  
13 sensitivity of the instruments that are available so that we  
14 would not want to go into an area, even though that is where  
15 the truth lies, because we don't have the instrumentation for  
16 it, in part.

17 So you are going to be selectively picking people  
18 who are less impaired because you can examine a broader range  
19 of pathologies. Interesting. Do people agree with that?

20 DR. GAMZU: No. I strongly disagree with that. I  
21 think if you are asking about subpopulations -- Steve said  
22 something earlier that we just don't have any information on  
23 the subpopulations, and Paul emphasized that again. We have  
24 all sorts of theories, some of which may be correct and some  
25 of which may not.

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1 It is also interesting that people used to think  
2 that cerebral vasodilators would solve the problems of  
3 hardening of the arteries and that was wrong, too, but it was  
4 seriously believed at the time.

5 I really think that until we have an effective  
6 agent that will allow us to parse out and give us real data  
7 to answer some of these questions that we ought to be looking  
8 at as broad a population as possible and using broad clinical  
9 measures, not the specific measures.

10 I certainly think that that gives you a better  
11 opportunity of finding something that might be there. There  
12 might be subpopulations. There may be inverted U-shaped  
13 functions. Despite all the work in animals, except for the  
14 fact that in patients studied with physostigmine have a  
15 single-point dose, an inverted V which may or may not be  
16 real, there is no evidence yet in humans that that really  
17 does exist.

18 I do not think that it is the case that you would  
19 find that with all drugs. I think the rate-limiting factor  
20 for most drugs is toxicity and not the so-called benign  
21 inversion of the U-shaped function.

22 Even if we take the assumption that an enriched  
23 population will help us solve some of these problems, again,  
24 I do not believe that there is sufficient evidence to say  
25 that that design is necessarily the best design.



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1 There is work from the Columbia group that suggests  
2 that that patients that did not respond in the titration  
3 phase may respond subsequently. We have heard talk today  
4 about how long do you have to treat. The titration phase in  
5 the tacrine study, which is the generic name for THA, that  
6 stage, at the moment, is two weeks.

7 But I don't think anybody here would agree that the  
8 minimum time to treat patients to show efficacy is two weeks.  
9 I can tell you that, from our own experience in this and many  
10 other studies that over a two-week period at the beginning of  
11 a study, patients on placebo will improve.

12 But we also know from the longitudinal studies that  
13 if you go long enough, they will decline. So you are taking  
14 a short period, you are making a brief putative assessment of  
15 response, and making the assumption that that is going to be  
16 replicated in a longer period of time.

17 Is the enriched-population design important? I  
18 think until we have some results, we won't know. We  
19 certainly will not know from this study the answer to the  
20 question that Steve posed, and this is does it drop patients  
21 who might otherwise have responded?

22 So I would say cast the net as broadly as possible.  
23 I think the enriched-population design for the tacrine study  
24 was crucial, but not because of theoretical aspects that we  
25 are talking about now, but a lot more to do with safety

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1 aspects.

2 DR. LEBER: We are running out of time, but you  
3 brought up, Elkan, a very important issue that relates to  
4 time. Given what we have all been discussing so far, is  
5 there a minimum duration for any kind of clinical trial that  
6 you would demand before you would be willing to accept the  
7 results as reasonably a source of information to make a claim  
8 as an antimentia drug.

9 Anybody want to deal with that? Minimum time,  
10 now. Weeks? Months? Half a year? Five days? Any  
11 thoughts?

12 DR. RASKIN: Let me go back to an earlier -- it  
13 sort of ties into what you are asking and to what has been  
14 said. I don't think there is any question, and certainly it  
15 was demonstrated in the hypobaric oxygen study, that there is  
16 a placebo effect if you take in mildly-demented patients. So  
17 to that extent, I think, first of all, you have to have  
18 something that will measure that. I will talk about that  
19 maybe a little bit tomorrow.

20 But, beyond that, you should run the study long  
21 enough so that there is a chance for that to wash out.

22 I was just having a little discussion with Gil  
23 about what that is. We used to study placebo effect, it was  
24 sort of a major area of concern, very early on, with some of  
25 the drug trials. It hasn't been lately, but I don't know

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1 what the placebo effect is in this population.

2 In others, it has been much shorter.

3 DR. THAL: I think Ken or I could answer that. In  
4 reality, if you use objective instruments, we see essentially  
5 no placebo effect. If you look at what the family tells  
6 you, you see a placebo effect.

7 DR. RASKIN: I will show a slide tomorrow that  
8 demonstrates a placebo effect in early Alzheimer's with an  
9 adjective chest list.

10 DR. THAL: Not with a measure of cognitive ability  
11 such as a list-learning task

12 DR. CROOK: No, but I think you can show that, too.  
13 Like many of these issues, it comes back to severity. In  
14 the less-severely impaired patients, you clearly do see an  
15 effect on objective measures.

16 The same with Elkan's point; in these less-severely  
17 impaired patients, cognition is a complex phenomenon as it is  
18 in humans. It is multifactorial. Drugs may have effect on  
19 some parameters of cognitions, and not others. I think it is  
20 worth looking in much greater detail with objective test in  
21 those patients.

22 By the time the disease has progressed so that it  
23 is essentially a unitary phenomenon, many of the functions  
24 have been compromised and a global assessment might be  
25 enough. But I think severity is an issue that overrides many

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1 of these considerations.

2 DR. DAVIS: You started, in your keynote comments,  
3 and pointed out how many things we don't know. This isn't  
4 like developing antidepressants. We took our best shot at a  
5 reasonable protocol with THA and would probably do it  
6 differently today because we have learned.

7 The number of things we don't know, including how  
8 long to treat, is an example of just one. On that issue,  
9 Marshal Folstein has some terrific data from head studies  
10 that were acute with physostigmine, seeing differences on a  
11 praxis task and on a PET study.

12 When Rich and I did our IV physo, it was very  
13 acute. Yet, now, we noting things that suggest that you can  
14 see effects that are much longer. The implications of that  
15 are what Elkan said; the dose-finding phase, then, may miss  
16 some people.

17 All unanswered questions. The only way we are  
18 going to answer them is if we take the time in other studies  
19 to variate from the standard design.

20 DR. DRACHMAN: I think that is a theme that I hear  
21 occurring throughout this morning, at least; that is, the  
22 number of permutations and combinations of patients, degrees  
23 of severity, types of trials, types of measures, et cetera,  
24 is so great that the idea of zeroing in with a single type of  
25 trial -- that is, two weeks, patients with a specific degree

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1 of deficit, pure AD, only on the memory, et cetera, that idea  
2 is probably rather destructive instead of being creative.

3 I think at this point, we aren't ready to do that.  
4 I hope that that is what you are hearing. I think that is  
5 what I hear from the panel, that we aren't ready to do that  
6 and that even though there is a clear message about the  
7 importance of doing that at some point, before the regulatory  
8 process accepts the drug as being effective and safe in a  
9 defined population, I think that what we have to do is spread  
10 a net, just exactly as Elkan said.

11 DR. LEBER: I think that is a very eloquent  
12 statement to end this session. I want to thank you all. I  
13 think in a way, Dave, that you are echoing the earliest  
14 things I said about why we don't have guidelines. The world  
15 is a little too complex for us to have closure on it.

16 I thank you all for illustrating that so clearly.

17 [Proceedings were recessed for lunch from 12:30  
18 p.m. to 1:15 p.m.]

# **EXHIBIT 42**

Pernov, Nivalin and its Curative Effect upon Diseases of the Nervous System

## Nivalin and its Curative Effect upon Diseases of the Nervous System

by K. G. Pernov

### I. Pharmacodynamics

The theory on the chemical transmission of nerve impulses was developed during the first three decades of the 20<sup>th</sup> century from the work of a number of researchers (Langley, Elliot, Mislowski - 1905, Dale - 1914 and Loewi - 1921). This theory [illegible] exciting avenues for therapeutic application. Researchers eagerly endeavored to boost chemical neuro-transmitter action, etc., either by directly introducing them into the organism or by using substances to block the antagonists to transmitter activity.

Many preparations were considered in this regard. Among them, two groups of substances having a cholinesterase-inhibiting effect are especially significant.

The first group comprises substances which reversibly inactivate the cholinesterase (represented by eserine). The second group consists of phosphororganic substances which produce an irreversible inactivating of the cholinesterase. Diisopropylfluorophosphate (DFP) is indicative of this group.

A new, more powerful cholinesterase inhibitor having a reversible effect is the Bulgarian preparation Nivalin (Galantamine hydrobromide), isolated (I. Iwanowa-Boubawa - 1957) from the snowdrop plant growing wild in Bulgaria (*Galanthus nivalis*, var.: *gracilis*, family: *Amarillidaceae*). Its chemical composition is that of an alkaloid of the phenanthridine group having a tertiary nitrogen atom. D. Paskov suggested its base pharmacological properties (1959). Nivalin is a preparation which, while similar to eserine and prostigmin, exhibits its own specific characteristics. Experimental tests conducted by D. Paskov determined that it reversibly blocked cholinesterase at both the M-cholinergic systems of the effector organs as well as the N-cholinergic systems of the vegetative ganglia. This phenomenon was confirmed by more recent bio-chemical studies (G. Chistoni, G. Guaraldi) and electromyographic observations (V. Bergamini, P. Baggione), as well as by clinical experiences made with late recovery from myasthenia gravis pseudoparalytica and that occurring some months following treatment with Nivalin. Nivalin intensifies the acetylcholine action in the central nervous system and in the smooth and striated musculature; it also activates acetylcholine's hypotensive effect. Contractures of the striated musculature are not only intensified by the acetylcholine accumulation but also by the direct effect of the Nivalin on the cholinergic systems. It stimulates respiration, and its pronounced anticurare action arises from the mechanism of competitive effect in the neuromuscular synapse region. Nivalin facilitates the conduction of impulses in the nervous system and thereby increases reflex excitability, shortens the latency of the reflexes and boosts the process of excitation in the cerebral cortex.

Recent studies by M. D. Maschkowski and R. J. Iljutschenok on the bioelectric activity in the brains of cats and rabbits showed that Nivalin's mode of action is similar to that of eserine, although it differs substantially from prostigmin. At medium doses, Nivalin causes rapid-onset changes to the basic electroencephalogram rhythm, similar to the "arousal reaction," while prostigmin induces similar changes only at lethal doses and only after 50-60 minutes.

This difference in effect firstly between Nivalin and eserine and secondly with respect to prostigmin is to be explained by the fact that Nivalin and eserine are tertiary amines while prostigmin is a quaternary amine. As is generally known, of course, quaternary amines enter the central nervous system much more slowly and have a substantially weaker effect than the tertiary amines.

Plaintiff's Exhibit  
PX - 1181

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